

# **COL:750/7250**

## **Foundations of Automatic Verification**

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Course Webpage



<https://priyanka-golia.github.io/teaching/COL-750-COL7250/index.html>

|   | <b>Operate On</b> | <b>And Produce</b> |
|---|-------------------|--------------------|
| Connectives<br>( $\leftrightarrow$ , $\rightarrow$ , $\wedge$ , ... ) | Propositions      | A Proposition      |
| Predicates  | Objects           | A Proposition      |
| Functions   | Objects           | An Object          |

# First Order Logic (FOL): Syntax

Well-Formed Formula (wff) of FOL are composed of six types of symbols (not including Parenthesis).

1. Constant symbols — representing objects.
2. Functions symbols — functions from pre-specified number of objects to an object.
3. Predicate symbols — more like specify properties to objects. Have specified arity.  
Zero arity predicate symbols are treated as propositional symbols.
4. Variable symbols — will be used to quantify over objects.
5. Universal and existential quantifiers — will be used to indicate the type of quantification.
6. Logical connectives and negation.

# First Order Logic (FOL): Syntax

Formula  $\rightarrow$  Atomic Formula

| Formula Connective Formula

| Quantifier Variable Formula

|  $\neg$  Formula

| (Formula)

Connective  $\rightarrow \leftrightarrow \mid \wedge \mid \vee \mid \rightarrow$

Quantifier  $\rightarrow \forall \mid \exists$

Atomic Formula  $\rightarrow P(T_1, \dots, T_n)$  where  
 $P \in \text{Predicates}$ ,  $T_i$  are Terms,  $n$  is arity.

Term  $\rightarrow c$ , where  $c \in \text{CONST}$ .

|  $v$ , where  $v \in \text{VAR}$

|  $F(T_1, \dots, T_n)$ , where  $F \in \text{Functions}$ ,  $T_i$  are Terms,

$n$  is arity of  $F$ .

# First Order Logic (FOL): Syntax

Is it a WFF?

*TallerThan(John, Fatherof(John))  $\wedge$  TallerThan(Fatherof(Fatherof(John)), John) .*

Yes, notice, Term is recursive.

Term  $\rightarrow$  c, where  $c \in \text{CONST}$ .

| v, where  $v \in \text{VAR}$

|  $F(T_1, \dots, T_n)$ , where  $F \in \text{Functions}$ ,  $T_i$  are Terms,  
n is arity of F.

# First Order Logic (FOL): Additional Terminology

Ground Terms — Terms without variables. Refers to Objects. John, Fatherof(John)

Ground Formulas — Formulas without variables.

$TallerThan(John, Fatherof(John)) \wedge TallerThan(Fatherof(Fatherof(John)), John) .$

Closed Formulas — formulas in which all variables are associated with quantifier.

$\forall x \text{ Number}(x) \rightarrow \text{Number}(+ (x, 1))$

$\forall x \text{ GreaterThan}(x, y) \rightarrow \text{LessThan}(y, x)$  Y is not associated with quantifier.

Free variables — variables in a formula that don't have any quantifier. Typically free variables are treated as being implicitly universally quantified variables.

# First Order Logic (FOL): Additional Terminology

All Birds can Fly.

$$\forall x (Bird(x) \rightarrow Fly(x))$$

Not all Birds can Fly.

$$\neg(\forall x (Bird(x) \rightarrow Fly(x)))$$

$$\equiv \exists x (Bird(x) \wedge \neg Fly(x))$$

All Birds cannot Fly.

$$\forall x (Bird(x) \rightarrow \neg Fly(x))$$

$$\equiv \neg(\exists x (Bird(x) \wedge Fly(x)))$$

# First Order Logic (FOL): Semantics

## Models of FOL!

Model of FOL is a tuple  $\langle D, I \rangle$

$D$  — non-empty domain of objects (set of objects, finite, infinite, uncountable)

$I$  — Interpretation function.

Interpretation — assign a meaning.

If  $c$  is a constant symbol then  $I(c)$  is an object in  $D$ .

Defined for all inputs:  
Single output per input

If  $f$  is a function symbol of arity  $n$ , then  $I(f)$  is a **total function** from  $D^n \mapsto D$

If  $p$  is a predicate symbol of arity  $n$ , then  $I(p)$  is a **subset of  $D^n$** . If a tuple  $O = \langle o_1, \dots, o_n \rangle \in I(p)$ , then we say that  $p$  is True for tuple  $O$ .



# First Order Logic (FOL): Semantics

$D = \{BOB, JOHN, NULL\}$     Bob is taller than John.  
   John is father of Bob.

If  $c$  is a constant symbol then  $I(c)$  is an object in  $D$ .                       $I(Bob) = BOB$

If  $f$  is a function symbol of arity  $n$ , then  $I(f)$  is a **total function** from  $D^n \mapsto D$

$I(FatherOf)(BOB) = JOHN$        $I(FatherOf)(JOHN) = NULL$ .       $I(FatherOf)(NULL) = NULL$ .

If  $p$  is a predicate symbol of arity  $n$ , then  $I(p)$  is a **subset of  $D^n$** . If a tuple  $O = \langle o_1, \dots, o_n \rangle \in I(p)$ , then we say that  $p$  is True for tuple  $O$ .

$I(TallenThan) = \{ \langle BOB, JOHN \rangle \}$

# First Order Logic (FOL): Semantics

How do we handle variables?

Given a model  $M = \langle D, I \rangle$  and a variable  $x$ , and object  $o \in D$ ,

Extended Model  $M[x \rightarrow o]$  as a model that is identical to  $M$ , except that  $I$  is extended to interpret  $x$  as  $o$ .

$$\exists x \text{ TallerThan}(x, \text{FatherOf}(x))$$

If we can find an object  $o$  in  $D$  such that following is True:

$$\text{TallerThan}(x, \text{FatherOf}(x))^{M[x \rightarrow o]}$$

# First Order Logic (FOL): Semantics

$$F = \textit{TallerThan}(x, \textit{FatherOf}(x))$$

$$D = \{BOB, JOHN, NULL\}$$

$$I(Bob) = \{BOB\}, I(John) = \{JOHN\}, I(NULL) = \{NULL\}$$

$$I(\textit{FatherOf})(BOB) = \{JOHN\}, I(\textit{FatherOf})(JOHN) = \{NULL\}, I(\textit{FatherOf})(NULL) = \{NULL\}$$

$$I(\textit{TallerThan}) = \langle BOB, JOHN \rangle$$

Is F True, with respect to  $M \langle D, I \rangle$ , where **variable assignment**

$\sigma = \langle \text{John} \rangle$ ?

# First Order Logic (FOL): Semantics

How do we define the meaning of terms and formulas relative to a given model  $M = \langle D, I \rangle$

Notation: Interpretation of a string (terms/formula)  $F$  relative to a model  $M$ , and an assignment  $\sigma$  by  $F^{M,\sigma}$

Interpreting Terms:

If  $t$  is a constant or a variable, then we have:

$$t^{M,\sigma} = I(t) \quad x^{M,\sigma} = I(\text{John}) = \text{JOHN}.$$

If  $t$  is a function  $f(t_1, \dots, t_n)$ , then we have:

$$t^{M,\sigma} = I(f)(t_1^{M,\sigma}, \dots, t_n^{M,\sigma})$$

$$\text{FatherOf}(x)^{M,\sigma} = I(\text{FatherOf})(x^{M,\sigma})$$

$$\text{FatherOf}(x)^{M,\sigma} = I(\text{FatherOf})(\text{JOHN})$$

$$\text{FatherOf}(x)^{M,\sigma} = \text{NULL}$$

# First Order Logic (FOL): Semantics

$$x^{M,\sigma} = I(\text{John}) = \text{JOHN}. \quad \text{FatherOf}(x)^{M,\sigma} = \text{NULL}$$

Interpreting Formulas:

1. Atomic Formulas  $F$  of the form  $p(t_1, \dots, t_m)$

$$F^{M,\sigma} = \begin{cases} \text{True if } \langle t_1^{M,\sigma}, \dots, t_n^{M,\sigma} \rangle \in I(p) \\ \text{False otherwise.} \end{cases}$$

$$\text{TallerThan}^{F,\sigma} = \langle \text{JOHN}, \text{NULL} \rangle$$

$$\text{TallerThan}^{M,\sigma} \notin I(\text{TallerThan}), F^{M,\sigma} \text{ is False.}$$

# First Order Logic (FOL): Semantics

Interpreting Formulas:

1. Atomic Formulas  $F$  of the form  $p(t_1, \dots, t_n)$

$$F^{M,\sigma} = \begin{cases} \text{True if } \langle t_1^{M,\sigma}, \dots, t_n^{M,\sigma} \rangle \in I(p) \\ \text{False otherwise.} \end{cases}$$

2. If  $F$  is of the form  $F_1 \circ F_2$  where  $\circ$  is logical connective:

$$F^{M,\sigma} = F_1^{M,\sigma} \circ F_2^{M,\sigma}$$

3. If  $F$  is of the form  $\neg F_1$ :

$$F^{M,\sigma} = \neg F_1^{M,\sigma}$$

# First Order Logic (FOL): Semantics

4. If  $F$  is of the form  $\exists x F_1$

$$F^{M,\sigma} = \begin{cases} \text{True if there exists an } o \in D \text{ such that } F_1^{M,\sigma[x \rightarrow o]} \text{ is True} \\ \text{False otherwise.} \end{cases}$$

5. If  $F$  is of the form  $\forall x F_1$

$$F^{M,\sigma} = \begin{cases} \text{True if for all } o \in D, F_1^{M,\sigma[x \rightarrow o]} \text{ is True} \\ \text{False otherwise.} \end{cases}$$

# First Order Logic (FOL): Semantics

$$F = \exists x \textit{TallerThan}(x, \textit{FatherOf}(x))$$

We need to find a model M such that following is True:

$$[\exists x \textit{TallerThan}(x, \textit{FatherOf}(x))]^M$$

This is true iff we can find an object o in D such that:

$$\textit{TallerThan}(x, \textit{FatherOf}(x))^{M[x \rightarrow o]}$$

BOB is such an object.

How about  $F = \forall x \textit{TallerThan}(x, \textit{FatherOf}(x))$  ?



# First Order Logic (FOL): Semantics

$$F = \forall x \text{ TallerThan}(x, \text{FatherOf}(x))$$

We need to find a model M such that following is True:

$$[\forall x \text{ TallerThan}(x, \text{FatherOf}(x))]^M$$

This is true iff for all objects o in D the following is True:

$$\text{TallerThan}(x, \text{FatherOf}(x))^{M[x \rightarrow o]}$$

We saw that  $\text{TallerThan}(x, \text{FatherOf}(x))^{M[x \rightarrow \text{JOHN}]}$  is False.

$$F = \forall x \text{ TallerThan}(x, \text{FatherOf}(x)) \text{ is False.}$$

# First Order Logic (FOL): Semantics

Assignment: For a domain  $D$  is a function  $\sigma : X \mapsto D$

Where  $X$  is set of variables  
of formula

Given  $M = (D, I)$  and given an assignment  $\sigma$ , satisfaction relation  $M, \sigma \models F$  is follows:

$$M, \sigma \models \top$$

$$M, \sigma \not\models \perp$$

$$M, \sigma \models P(t_1, \dots, t_n) \text{ --- iff } I(P)((t_1^M, \dots, t_n^M)^\sigma) = 1$$

$$M, \sigma \models \neg F \text{ --- iff } M, \sigma \not\models F$$

$$M, \sigma \models F \wedge G \text{ --- iff } M, \sigma \models F \text{ and } M, \sigma \models G$$

$$M, \sigma \models F \vee G \text{ --- iff } M, \sigma \models F \text{ or } M, \sigma \models G$$

$$M, \sigma \models F \rightarrow G \text{ --- iff } M, \sigma \not\models F \text{ or } M, \sigma \models G$$

$$M, \sigma \models \forall x F \text{ --- iff } M, \sigma[x \mapsto a] \models F \text{ for all } a \in D$$

$$M, \sigma \models \exists x F \text{ --- iff } M, \sigma[x \mapsto a] \models F \text{ for some } a \in D$$

# First Order Logic (FOL): analogy with Propositional Logic

Truth table in propositional logic is similar to Model  $M = \langle D, I \rangle$  in FOL

Truth table consists of various truth assignments ( $\sigma$ ) and to check if  $\sigma \models F$ , we need to check if  $F(\sigma) = 1$  in truth table. Similarly in FOL, we need to check if  $I^{M,\sigma}$  is 1 or not!

Given a formula, the truth table is fixed, however in FOL, model  $M$  depends on the Domain. We can have  $M_1 = \langle D_{real}, I \rangle$ ,  $M_2 = \langle D_{int}, I \rangle$ , ..., ..

# First Order Logic (FOL): Validity and Satisfiability

When  $M, \sigma \models F$ , we say that  $M$  satisfies  $F$  with  $\sigma$

A formula  $F$  is

**Valid** — iff  $M, \sigma \models F$  holds for all models  $M$  and assignments  $\sigma$ .

**Satisfiable** — iff there is some model  $M$ , and some assignment  $\sigma$  such that  $M, \sigma \models F$

**Unsatisfiable** — iff it is not satisfiable

**True** —  $F$  is called **True in  $M$** , iff some assignment  $\sigma$  in  $M$ ,  $M, \sigma \models F$

# First Order Logic (FOL): Validity and Satisfiability

$\forall x(x = x)$     Valid.

$\exists x(x \neq x)$     Unsatisfiable.

$\exists xP(x)$     Depends on given  $M, \sigma$ . Suppose in a given  $M$ ,  $I(P)$  is empty.  
Then, in that  $M$ , Formula is False.  
but, it may happen that there exists another  $M$ , under which it might be True.

# First Order Logic (FOL): Validity and Satisfiability

Decidability — a solution to a decision problem is an algorithm that takes problem as input, and **always terminates**, producing a correct “yes” or “no” output

**Valid** — iff  $M, \sigma \models F$  holds for all models  $M$  and assignments  $\sigma$ .

**Satisfiable** — iff there is some model  $M$ , and some assignment  $\sigma$  such that  $M, \sigma \models F$

The decision problem of validity of FOL is **undecidable** (given any FOL formula  $F$ )

The decision problem of of FOL is **undecidable** (given any FOL formula  $F$ )

# First Order Logic (FOL): Equivalent Formulas

F and G are called equivalent to each other if and only if:

For each model and assignment  $(M, \sigma)$ , if  $M, \sigma \models F$ , then  $M, \sigma \models G$  (notation  $F \models G$ )

and for each model and assignment  $(M', \sigma')$  if  $M', \sigma' \models G$ , then  $M', \sigma' \models F$   
(notation  $G \models F$ )

Exercise: Is  $\neg \forall x P(x) \equiv \exists x \neg P(x)$

# Intro to SMT: Satisfiability Modulo Theory

FOL: grammar for a rational abstract thinking

FOL: Doesn't have a knowledge of any specific matter.

Theory = Subject Knowledge + FOL

Model M  $\langle D = \text{set of natural numbers} \rangle$

- we can consider only theory of natural numbers.
- we also consider the set of valid sentences over natural numbers.

For example:  $\forall x \ x + 1 \neq 0$



# Intro to SMT: Satisfiability Modulo Theory

Theory = Subject Knowledge + FOL

Model M  $\langle D = \text{set of natural numbers} \rangle$

- we can consider only theory of natural numbers.
- we also consider the set of valid sentences over natural numbers.

For example:  $\forall x \ x + 1 \neq 0$

A theory  $T$  is a set of sentences closed under implications

If  $T \rightarrow F$ , then  $F \in T$

# Intro to SMT: Satisfiability Modulo Theory

Is  $F = \exists x, x > 0$  satisfiable? Valid ? In FOL?

Yes, it is satisfiable!

No, it is not valid,  $M :< D = \mathbb{Z}^-, I >$

$M :< D = \mathbb{N}, I >$   $F$  is satisfiable.

**A formula  $F$  is  $T$ -satisfiable if there is model  $M$  such that  $M \models T \cup F$ .**

**We write  $T$  -satisfiability as  $M \models_T F$ .**

$T$ : set of true sentences in arithmetic over natural numbers.

Is  $T \cup F$  satisfiable ?, we need to restrict our domain to set of natural numbers, and assume the knowledge of natural number arithmetic like  $\forall x x > 0, \forall x x + 1 \neq 0$

Yes, it is satisfiable!

$M \models_T F$

# Intro to SMT: Satisfiability Modulo Theory

$T$ : set of true sentences in arithmetic over natural numbers.

Is  $T \cup F$  satisfiable?, we need to restrict our domain to set of natural numbers, and assume the knowledge of natural number arithmetic like  $\forall x \ x > 0, \forall x \ x + 1 \neq 0$

Is  $F = \exists x, x > 0$  T-satisfiable?

Yes, it is T-satisfiable!

$$M \models_T F$$

Also,  $T \models F$       **A formula  $F$  is T-valid if  $T \models F$ . We write T -validity as  $\models_T F$**

# Intro to SMT: Satisfiability Modulo Theory

Is  $F = \exists x, x < 0$  satisfiable? Valid ? In FOL?

Yes, it is satisfiable!

No, it is not valid,  $M :< D = \mathbb{N}, I >$

$M :< D = \mathbb{Z}, I >$   $F$  is satisfiable.

T: set of true sentences in arithmetic over natural numbers.

Is  $F = \exists x, x < 0$  T-satisfiable? T-Valid ?

No, it is unsatisfiable,  $\not\models T_{\mathbb{N}} \cup F$

<https://smt-lib.org/logics.shtml>