

COL:750

Foundations of Automatic Verification

Instructor: Priyanka Golia

Course Webpage



<https://priyanka-golia.github.io/teaching/COL-750/index.html>

Interpolants based Model Checking

Interpolants: Introduced by Craig in 1957

Let A and B be two formulas such that : $A \wedge B \vDash \perp$

then, there exists a formula I called Interpolant such that:

1. $A \rightarrow I$

2. $I \wedge B \vDash \perp$

3. $Vars(I) \subseteq Vars(A) \cap Vars(B)$

It acts as a kind of summary or abstraction of A relevant to the contradiction with B .

$$A = (p \vee q) \wedge (\neg p \vee r) \quad B = \neg q \wedge \neg r \quad I = (q \vee r)$$

How to Compute Interpolants!

1. $A \wedge B$ are unsatisfiable.

SAT solver can return resolution proof!

All the initial nodes have in-degree 0. All internal nodes have in-degree 2.
Sink nodes has out-degree 0.

Internal node v , with edges (v_1, v) , (v_2, v) implies that v is a resolvent of
 v_1, v_2

$$A = (p \vee \neg q) \wedge (\neg p \vee \neg r) \wedge q$$

$$B = (\neg q \vee r) \wedge (q \vee s) \wedge \neg s$$

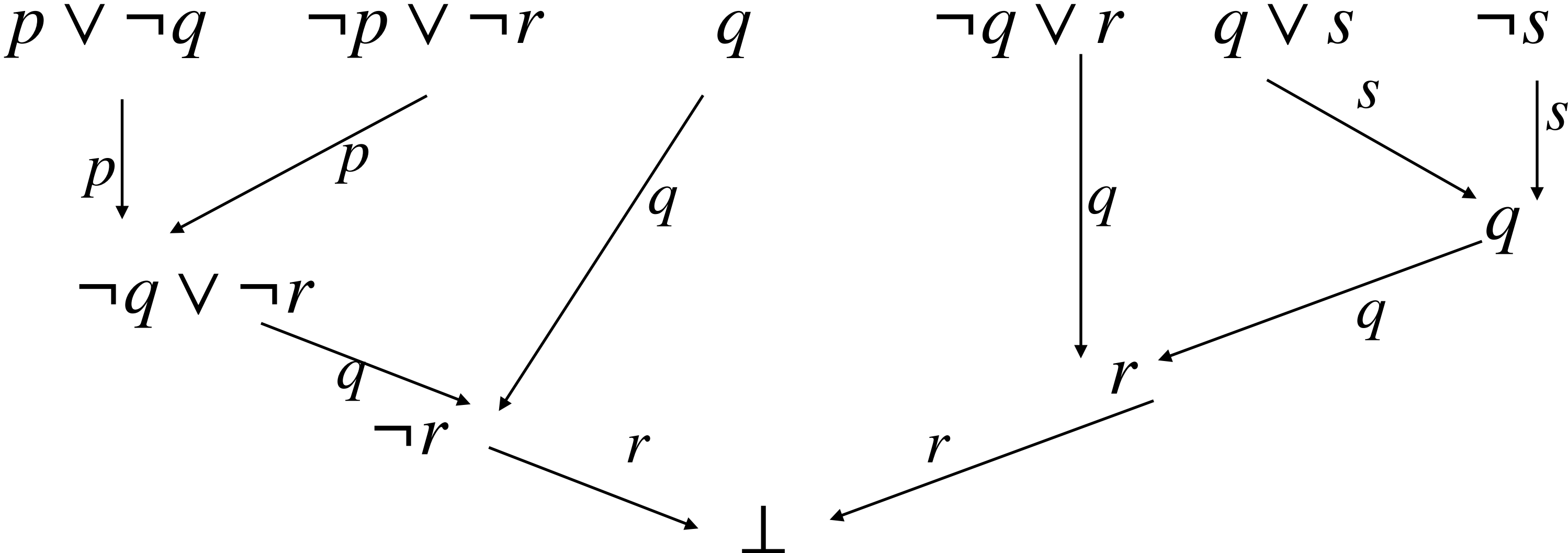
How to Compute Interpolants!

1. $A \wedge B$ are unsatisfiable. SAT solver can return resolution proof!

All the initial nodes have in-degree 0. All internal nodes have in-degree 2. Sink nodes has out-degree 0. Internal node v , with edges $(v_1, v), (v_2, v)$, v is a resolvent of v_1, v_2

$$A = (p \vee \neg q) \wedge (\neg p \vee \neg r) \wedge q \quad B = (\neg q \vee r) \wedge (q \vee s) \wedge \neg s$$

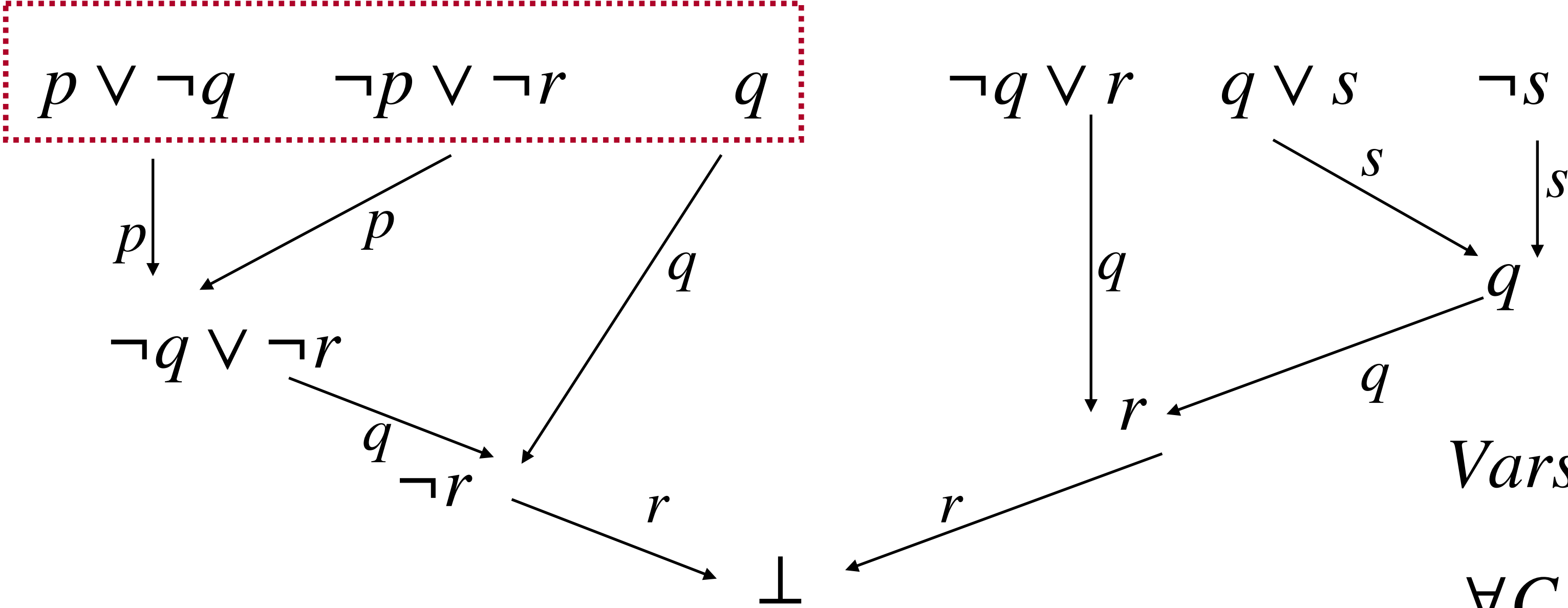
$$A \wedge B = (p \vee \neg q) \wedge (\neg p \vee \neg r) \wedge q \wedge (\neg q \vee r) \wedge (q \vee s) \wedge \neg s$$



How to Compute Interpolants!

$$A = (p \vee \neg q) \wedge (\neg p \vee \neg r) \wedge q \quad B = (\neg q \vee r) \wedge (q \vee s) \wedge \neg s$$

$$A \wedge B = (p \vee \neg q) \wedge (\neg p \vee \neg r) \wedge q \wedge (\neg q \vee r) \wedge (q \vee s) \wedge \neg s$$



$$Vars(I) \subseteq Vars(A) \wedge Vars(B)$$

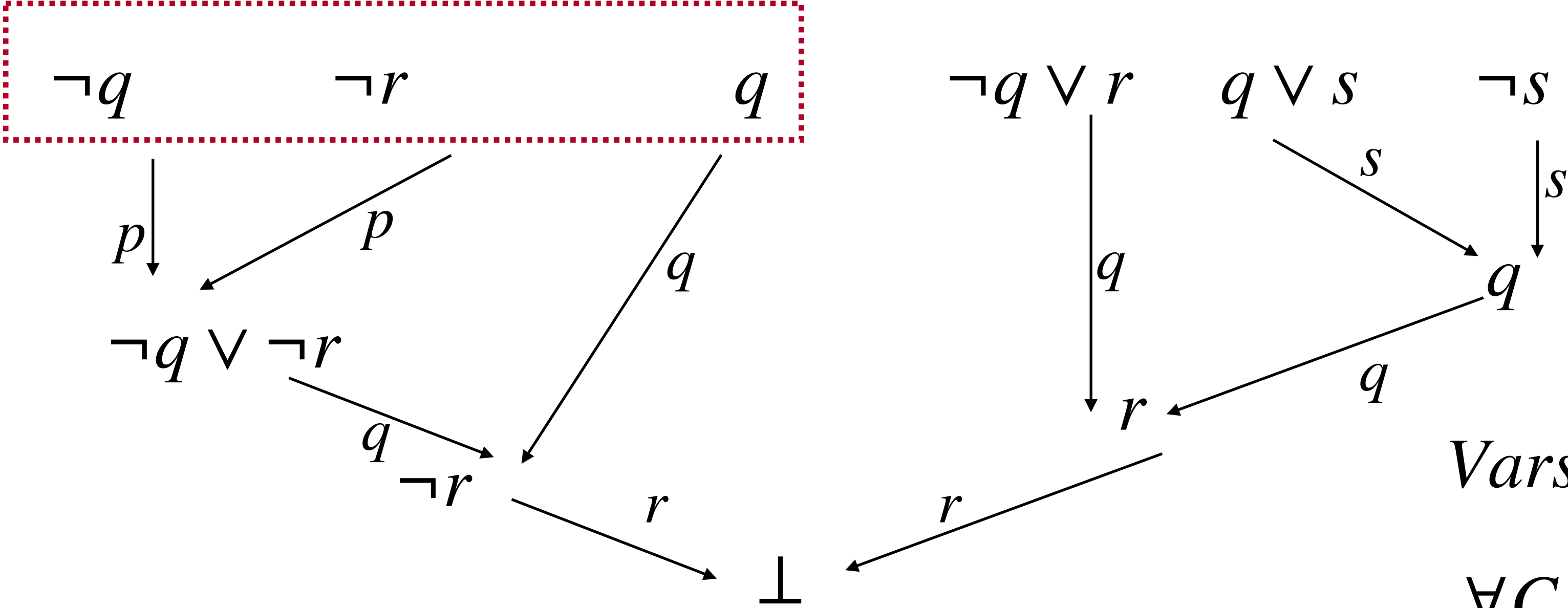
$$\forall C \in Clauses(A), C_{\downarrow(Vars(B))}$$

$$A \rightarrow I$$

How to Compute Interpolants!

$$A = (p \vee \neg q) \wedge (\neg p \vee \neg r) \wedge q \quad B = (\neg q \vee r) \wedge (q \vee s) \wedge \neg s$$

$$A \wedge B = (p \vee \neg q) \wedge (\neg p \vee \neg r) \wedge q \wedge (\neg q \vee r) \wedge (q \vee s) \wedge \neg s$$



$$Vars(I) \subseteq Vars(A) \wedge Vars(B)$$

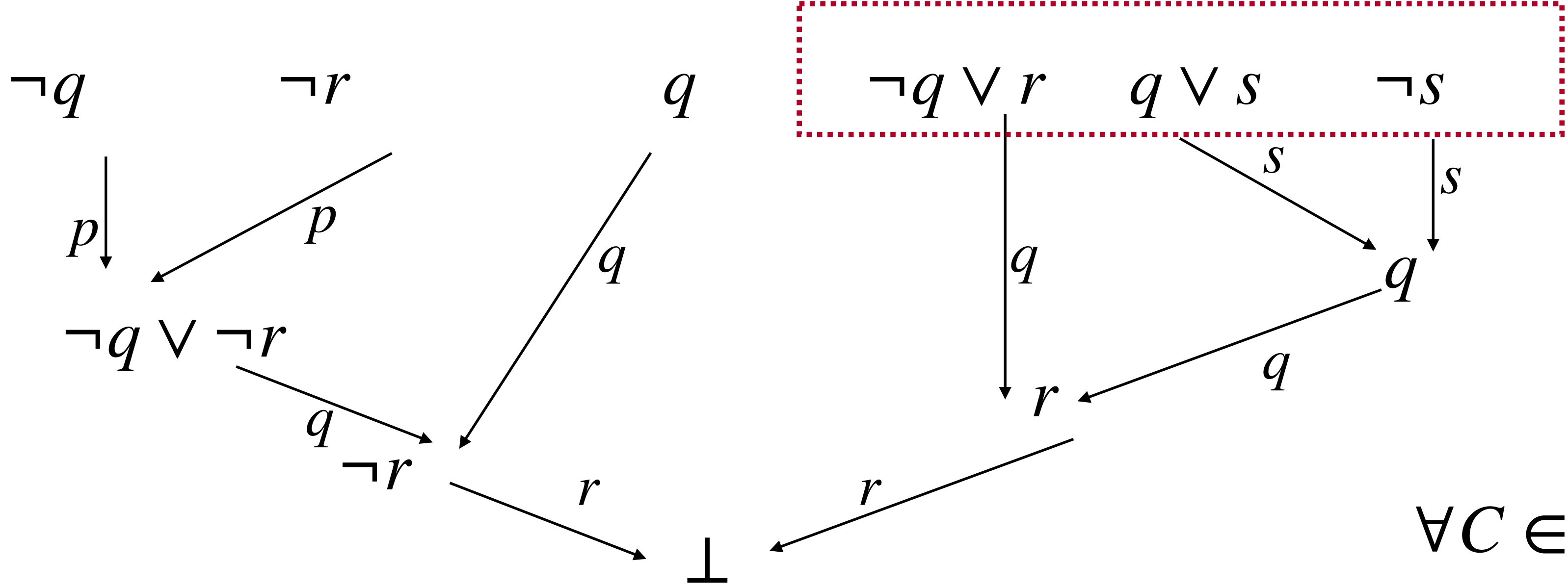
$$\forall C \in Clauses(A), C_{\downarrow(Vars(B))}$$

$$A \rightarrow I$$

How to Compute Interpolants!

$$A = (p \vee \neg q) \wedge (\neg p \vee \neg r) \wedge q \quad B = (\neg q \vee r) \wedge (q \vee s) \wedge \neg s$$

$$A \wedge B = (p \vee \neg q) \wedge (\neg p \vee \neg r) \wedge q \wedge (\neg q \vee r) \wedge (q \vee s) \wedge \neg s$$



$\forall C \in \text{Clauses}(B), \text{True}$

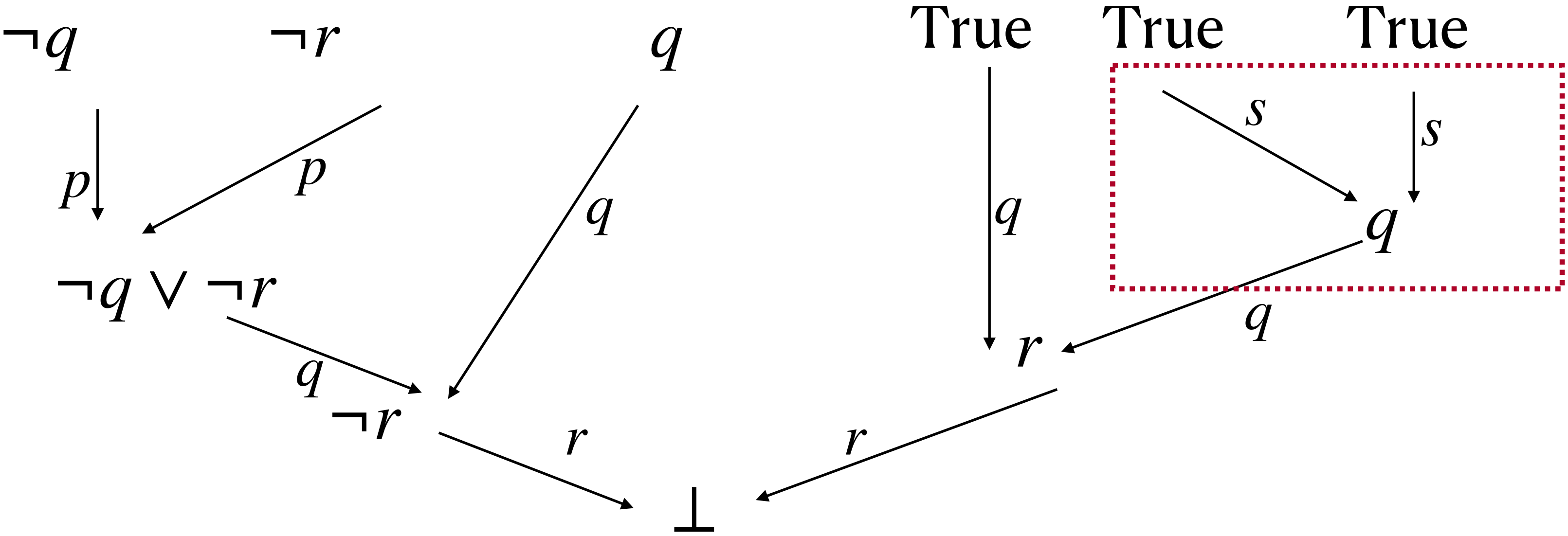
Clauses(B) doesn't contribute to I

$I \wedge B \models \perp$ will be taken care by internal nodes.

How to Compute Interpolants!

$$A = (p \vee \neg q) \wedge (\neg p \vee \neg r) \wedge q \quad B = (\neg q \vee r) \wedge (q \vee s) \wedge \neg s$$

$$A \wedge B = (p \vee \neg q) \wedge (\neg p \vee \neg r) \wedge q \wedge (\neg q \vee r) \wedge (q \vee s) \wedge \neg s$$



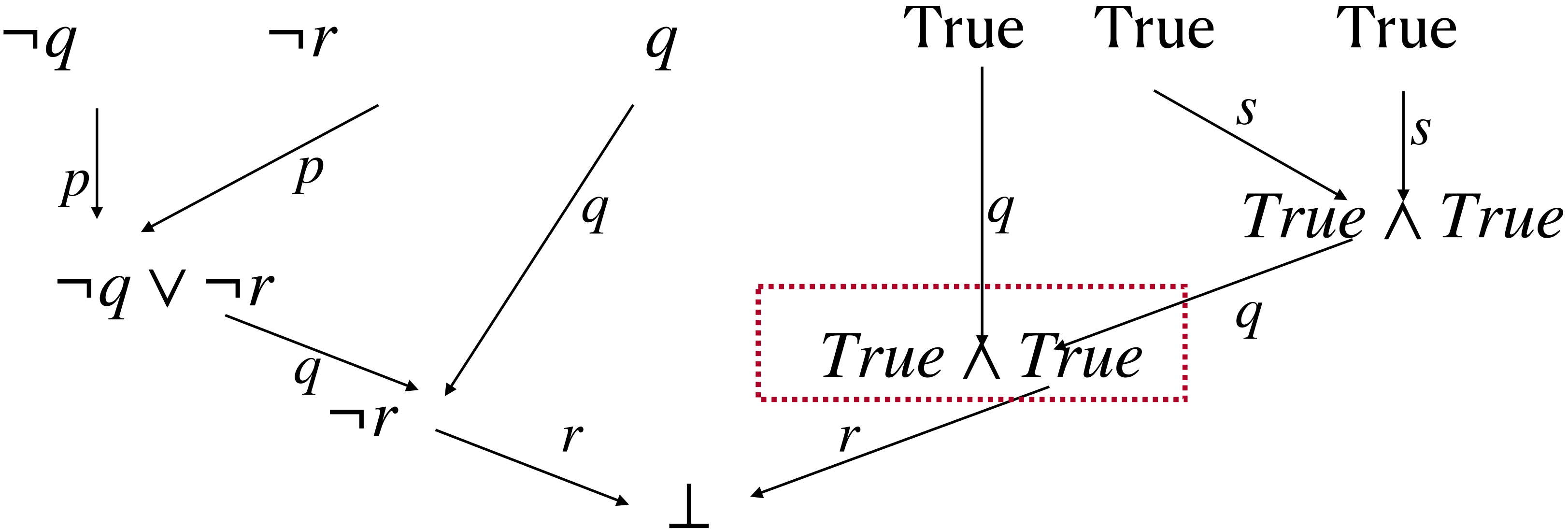
When pivot variable is B
 Internal nodes will be “AND” of its both source nodes

To preserve the contradiction with B.
 “both source should be considered.”

How to Compute Interpolants!

$$A = (p \vee \neg q) \wedge (\neg p \vee \neg r) \wedge q \quad B = (\neg q \vee r) \wedge (q \vee s) \wedge \neg s$$

$$A \wedge B = (p \vee \neg q) \wedge (\neg p \vee \neg r) \wedge q \wedge (\neg q \vee r) \wedge (q \vee s) \wedge \neg s$$



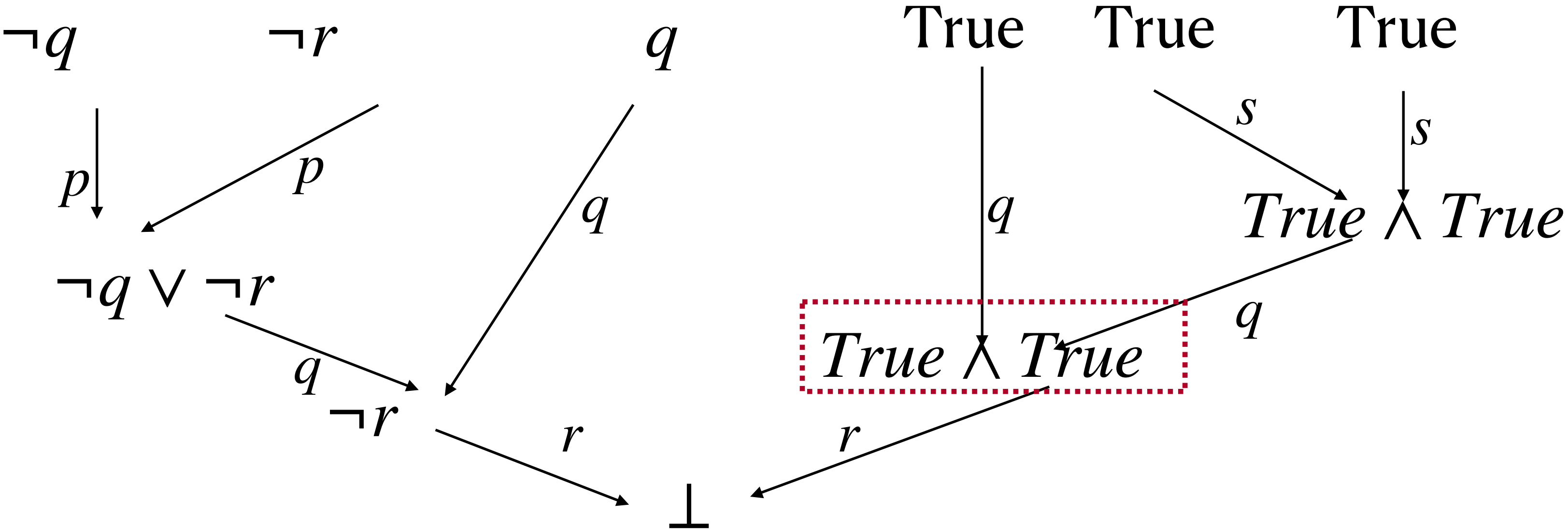
When pivot variable is B
 Internal nodes will be “AND” of its both source nodes

To preserve the contradiction with B.
 “both source should be considered.”

How to Compute Interpolants!

$$A = (p \vee \neg q) \wedge (\neg p \vee \neg r) \wedge q \quad B = (\neg q \vee r) \wedge (q \vee s) \wedge \neg s$$

$$A \wedge B = (p \vee \neg q) \wedge (\neg p \vee \neg r) \wedge q \wedge (\neg q \vee r) \wedge (q \vee s) \wedge \neg s$$



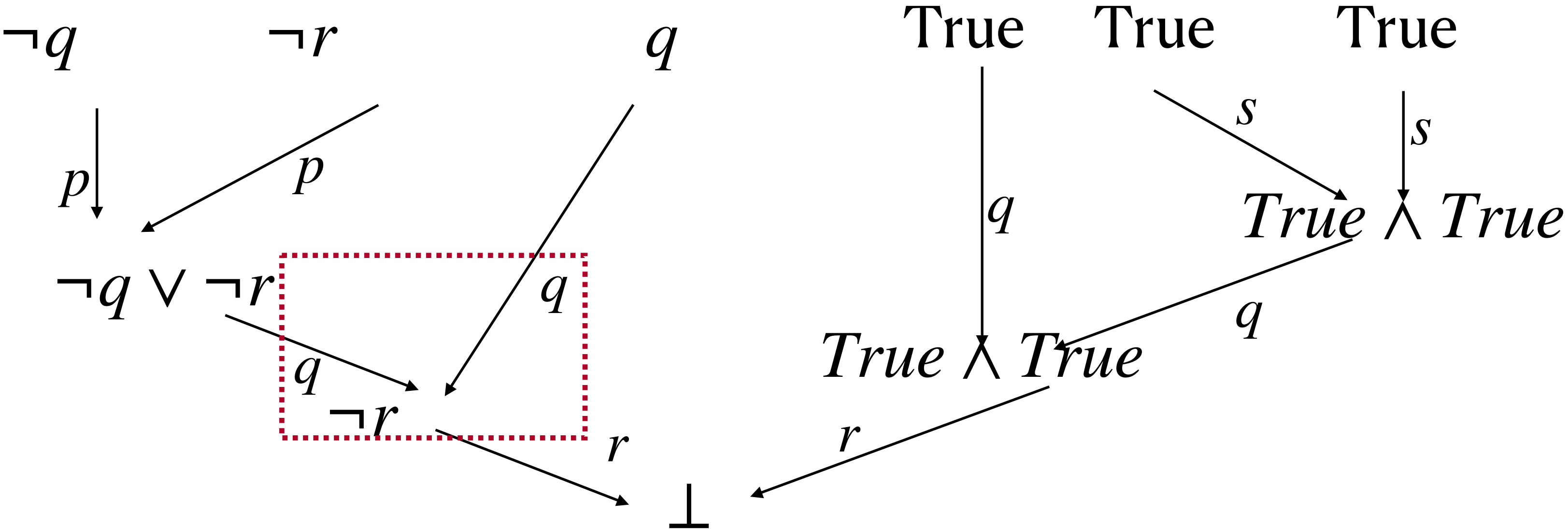
When pivot variable is B
 Internal nodes will be “AND” of its both source nodes

To preserve the contradiction with B.
 “both” source should be considered.

How to Compute Interpolants!

$$A = (p \vee \neg q) \wedge (\neg p \vee \neg r) \wedge q \quad B = (\neg q \vee r) \wedge (q \vee s) \wedge \neg s$$

$$A \wedge B = (p \vee \neg q) \wedge (\neg p \vee \neg r) \wedge q \wedge (\neg q \vee r) \wedge (q \vee s) \wedge \neg s$$



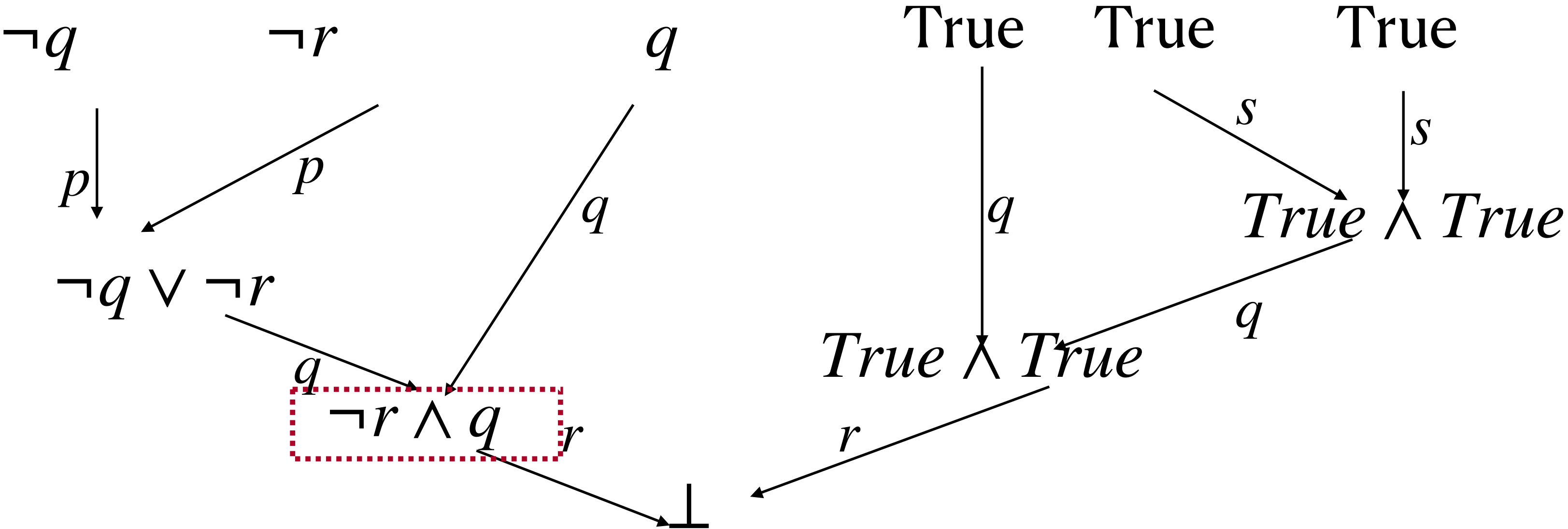
$q \in Vars(B)$

To preserve the contradiction with B. "both" source should be considered.

How to Compute Interpolants!

$$A = (p \vee \neg q) \wedge (\neg p \vee \neg r) \wedge q \quad B = (\neg q \vee r) \wedge (q \vee s) \wedge \neg s$$

$$A \wedge B = (p \vee \neg q) \wedge (\neg p \vee \neg r) \wedge q \wedge (\neg q \vee r) \wedge (q \vee s) \wedge \neg s$$



$q \in Vars(B)$

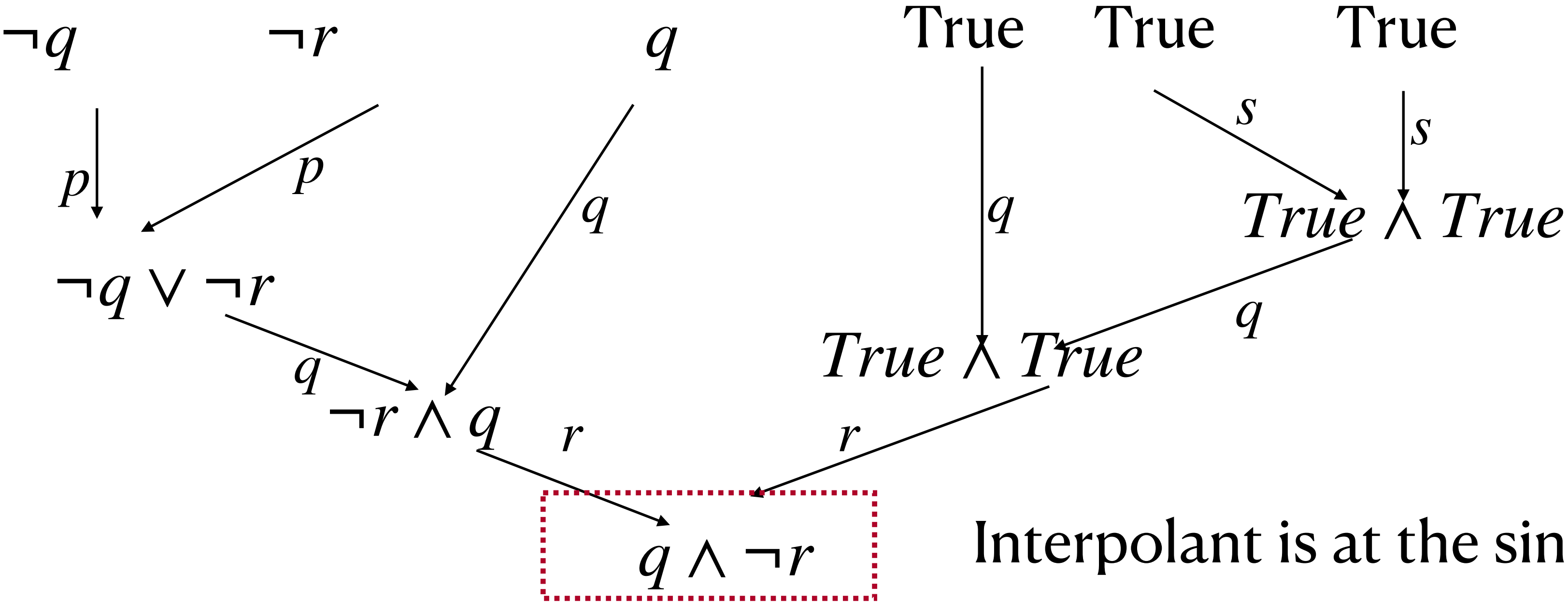
To preserve the contradiction with B. "both" source should be considered.

How to Compute Interpolants!

$$A = (p \vee \neg q) \wedge (\neg p \vee \neg r) \wedge q \quad B = (\neg q \vee r) \wedge (q \vee s) \wedge \neg s$$

$$I = q \wedge \neg r$$

$$A \wedge B = (p \vee \neg q) \wedge (\neg p \vee \neg r) \wedge q \wedge (\neg q \vee r) \wedge (q \vee s) \wedge \neg s$$



$$r \in \text{Vars}(B)$$

To preserve the contradiction with B. "both" source should be considered.

How to Compute Interpolants!

McMillan interpolation algorithm (2003)

1. Compute Resolution Proof of A and B

2. Base case (input clauses):

If $C \in \text{Clauses}(A)$:

$$I_c = C_{\downarrow(\text{Vars}(B))}$$

If $C \in \text{Clauses}(B)$:

$$I_c = \text{True}$$

3. Resolution step: C is Derived by C_1 and C_2 over pivot x .

If $x \in \text{Vars}(B)$:

$$I_c = I_{C_1} \wedge I_{C_2}$$

If $x \in \text{Vars}(A)$:

$$I_c = I_{C_1} \vee I_{C_2}$$

All the initial nodes have in-degree 0. All internal nodes have in-degree 2. Sink nodes has out-degree 0.

Internal node v , with edges (v_1, v) , (v_2, v) implies that v is a resolvent of v_1, v_2

Interpolant of A,B is I_{\perp}

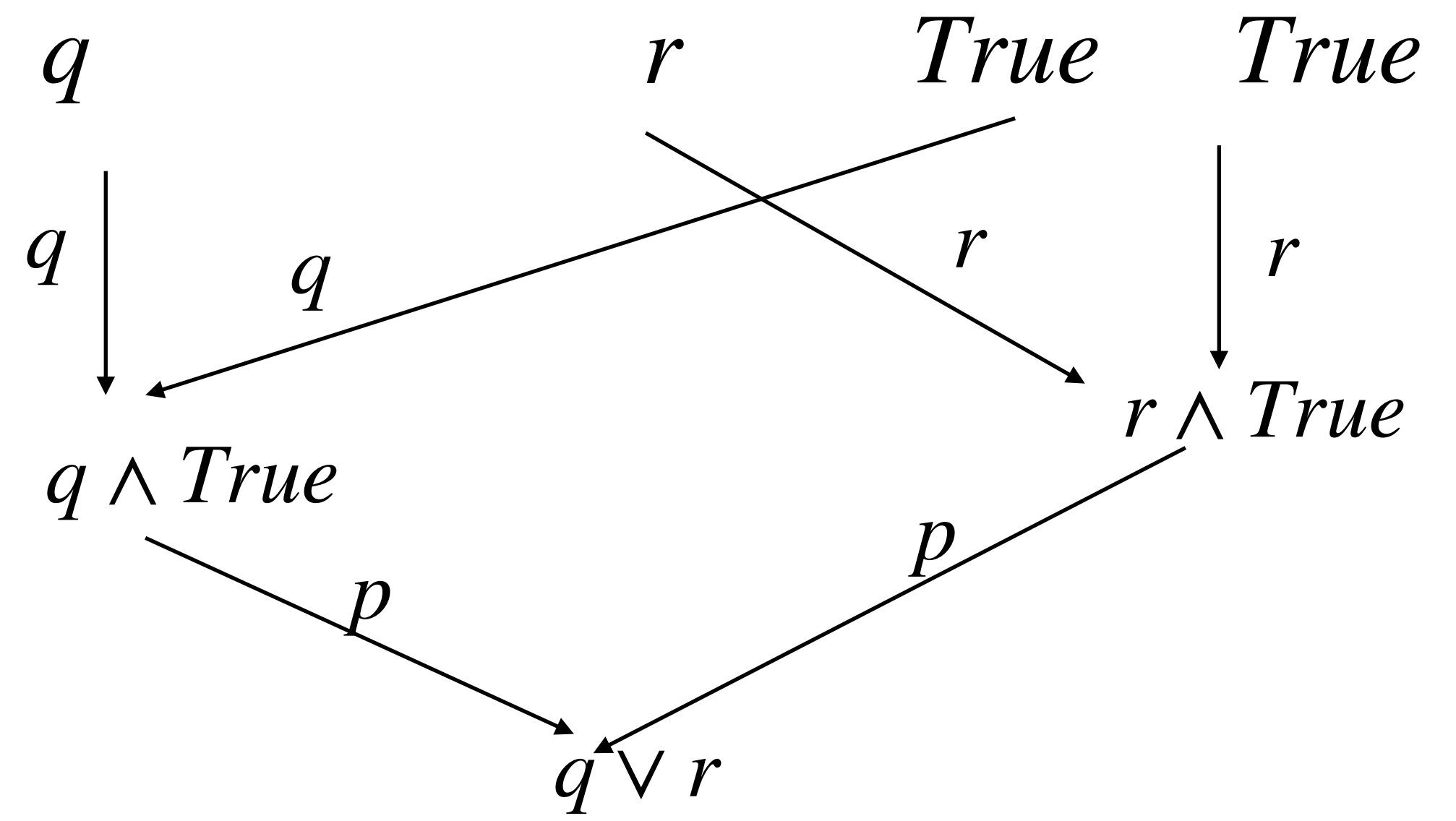
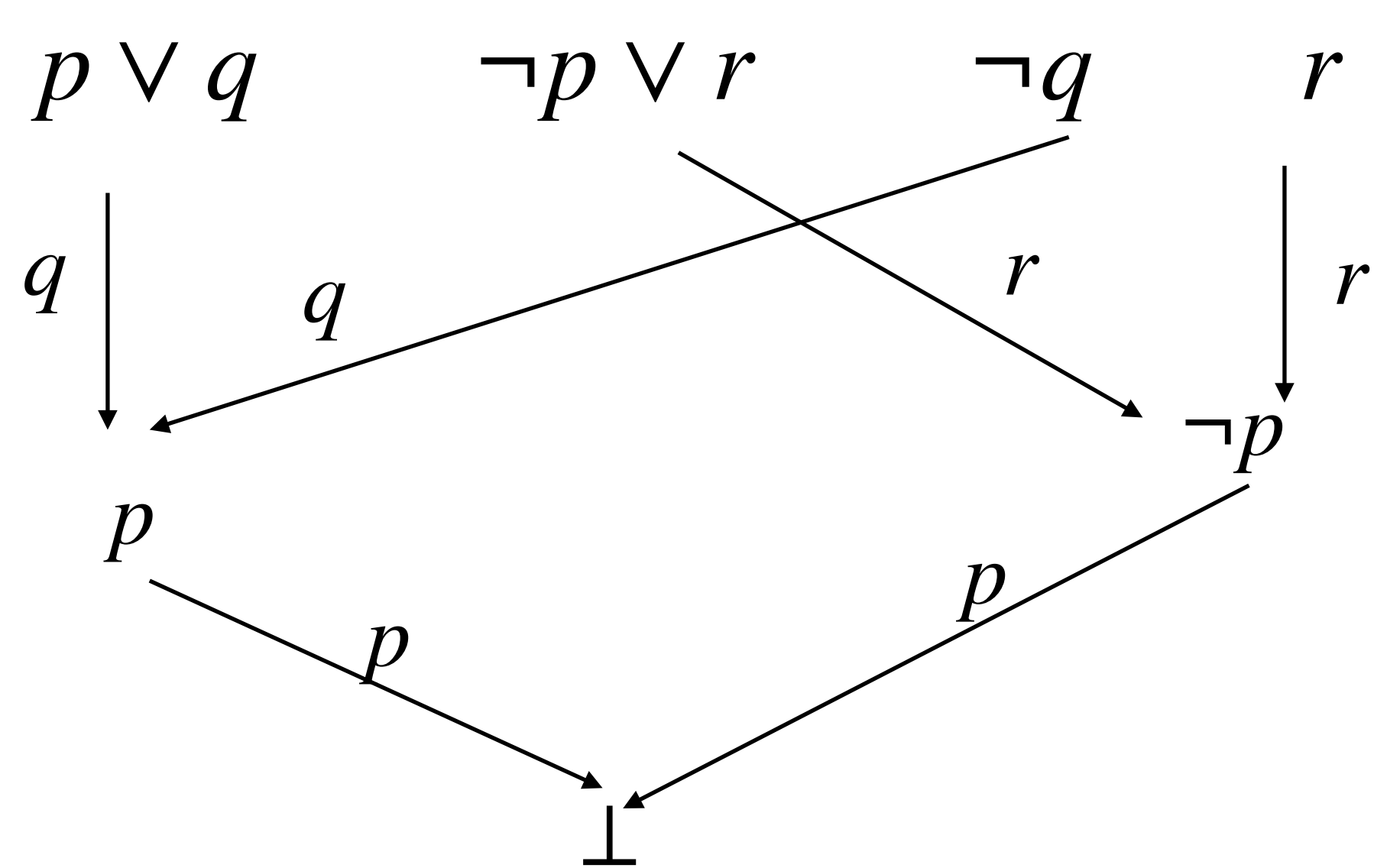
Compute Interpolants

$$A = (p \vee q) \wedge (\neg p \vee r) \quad B = \neg q \wedge \neg r$$

Compute Interpolants

$$A = (p \vee q) \wedge (\neg p \vee r)$$

$$B = \neg q \wedge \neg r$$



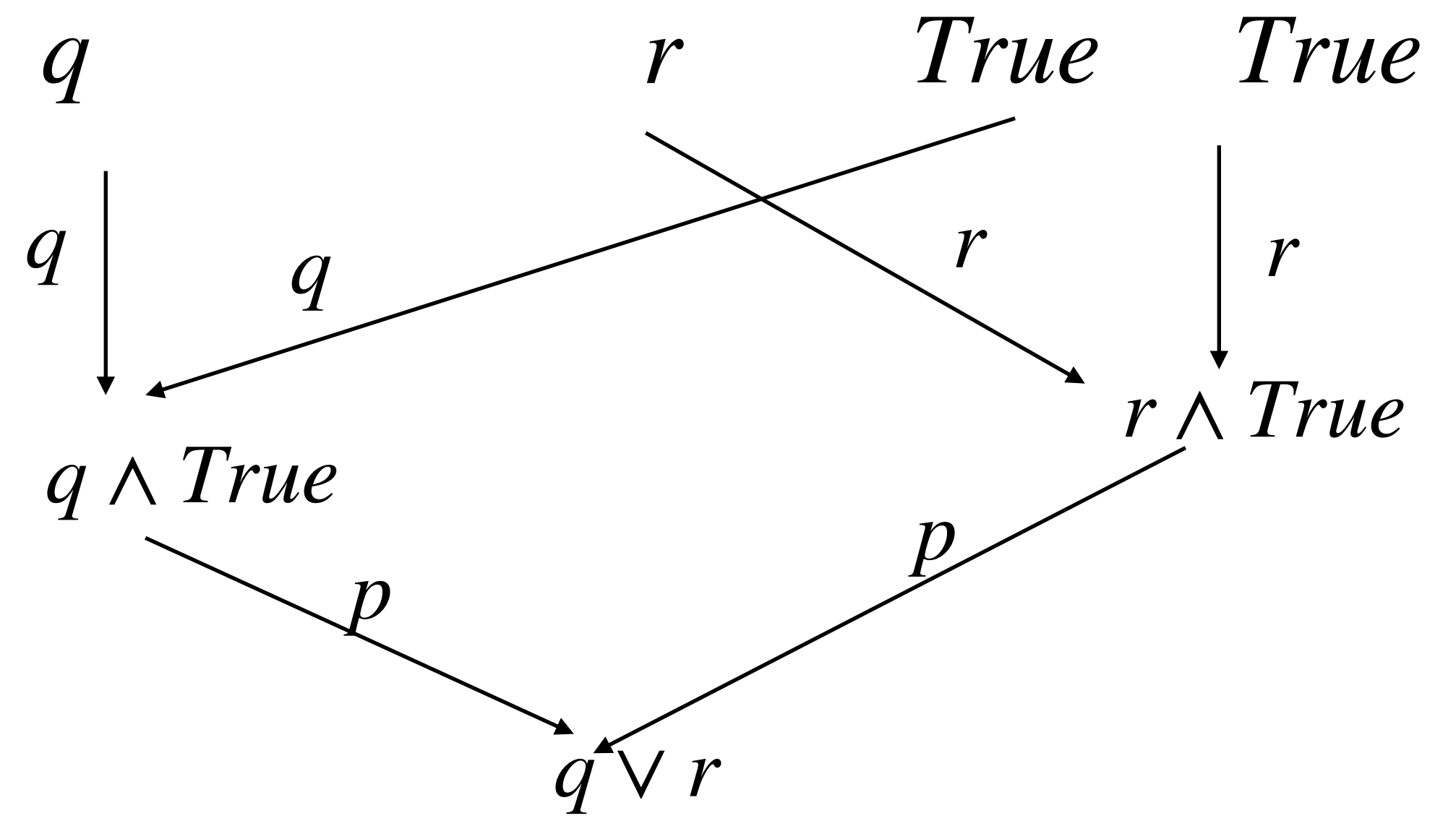
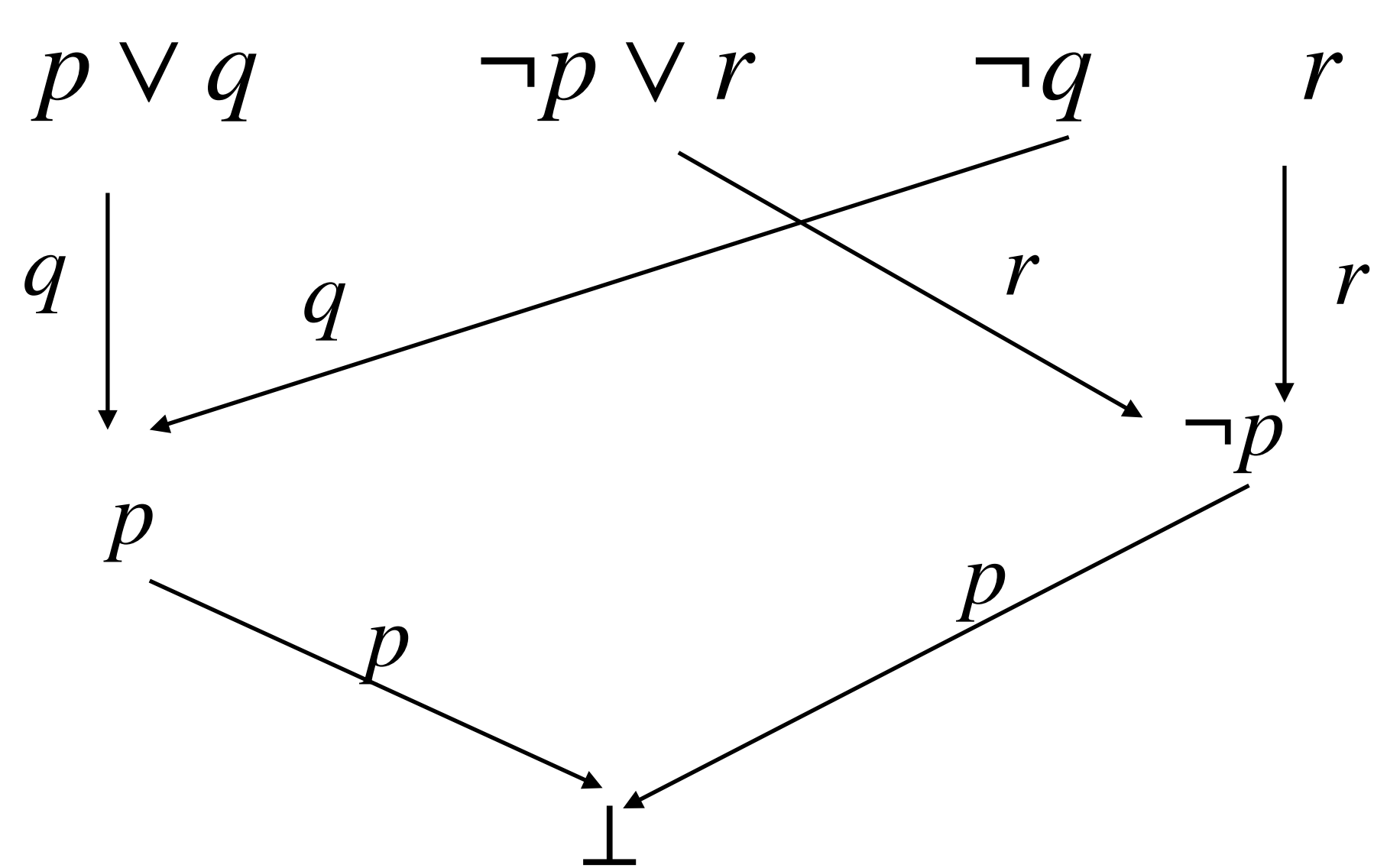
Compute Interpolants

$$A = (p \vee q) \wedge (\neg p \vee r) \quad B = \neg q \wedge \neg r$$

Compute Interpolants

$$A = (p \vee q) \wedge (\neg p \vee r)$$

$$B = \neg q \wedge \neg r$$



Model Checking using Interpolants

Inductive invariant (I_s) for $\forall \square p$

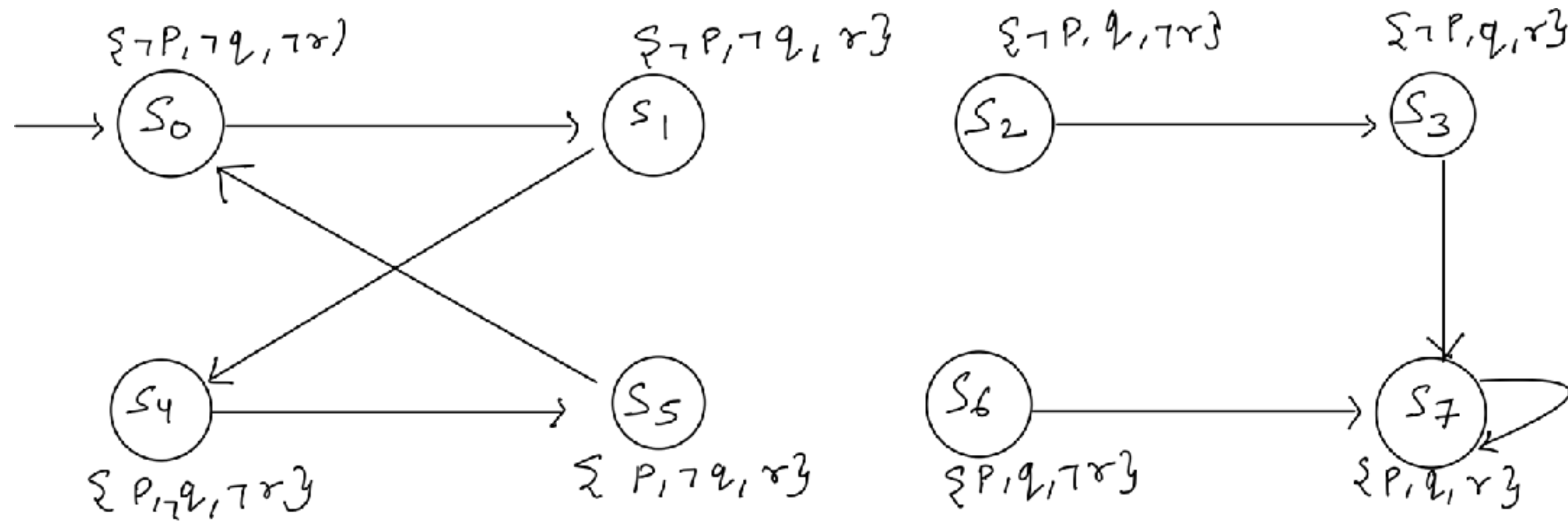
1. I_s must include the set of initial states, $I \subseteq I_s$
2. I_s must not include a state that is labeled with $\neg p$, $\forall s \in I_s, s \models p$
3. I_s must be closed under transition relation, $\text{post-image}(I_s) \subseteq I_s$ holds.

$$\text{Post-image}(Q) = \{s' \mid \exists s \in Q. T(s, s')\}$$

If there exists a inductive invariant for $\forall \square P$, then $M \models \forall \square p$

Model Checking using Interpolants

Can you use interpolants to compute inductive invariants?



Let us consider the above example: Look carefully at the labelling function.

$$F = \forall \square \neg(p \wedge q \wedge r).$$

Only Bad state is S_7

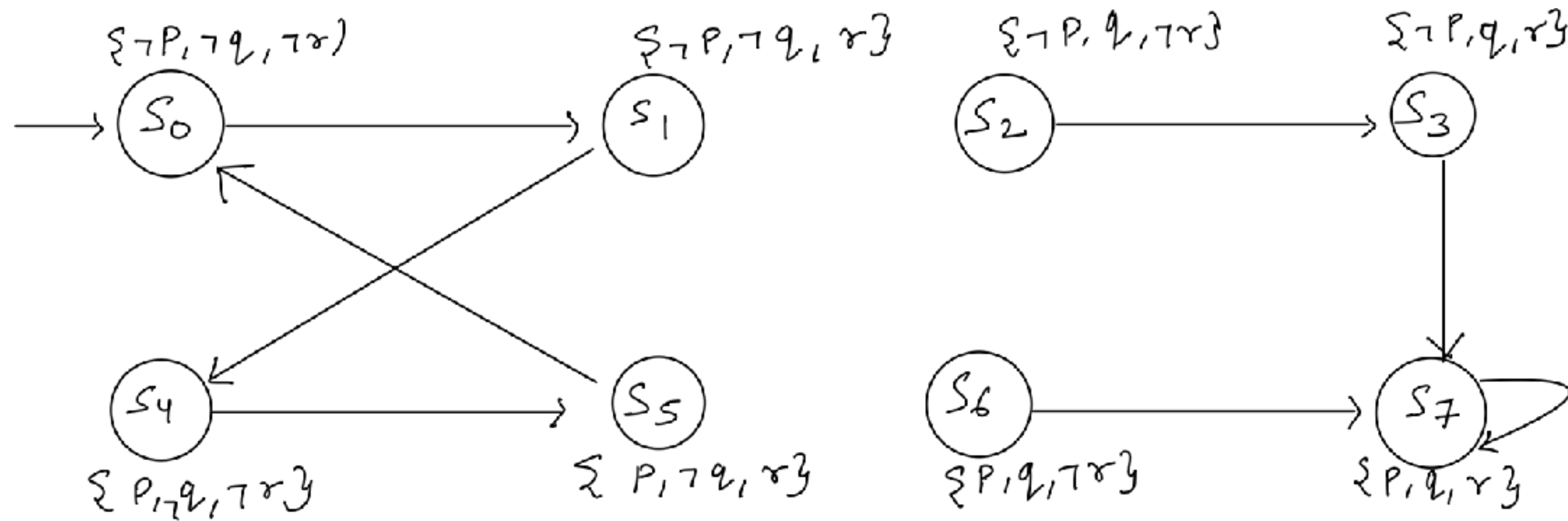
Reachability analysis — can we reach to state where $p \wedge q \wedge r$ holds initial states?

1. Does initial state is a bad state?

$$\text{CheckSAT}\{s_0 \wedge p_0\}$$

$$(\neg p_0 \wedge \neg q_0 \wedge \neg r_0) \wedge (p_0 \wedge q_0 \wedge r_0)$$

UNSAT — good to go!



Let us consider the above example: Look carefully at the labelling function.

$$F = \forall \square \neg(p \wedge q \wedge r).$$

Only Bad state is s_7

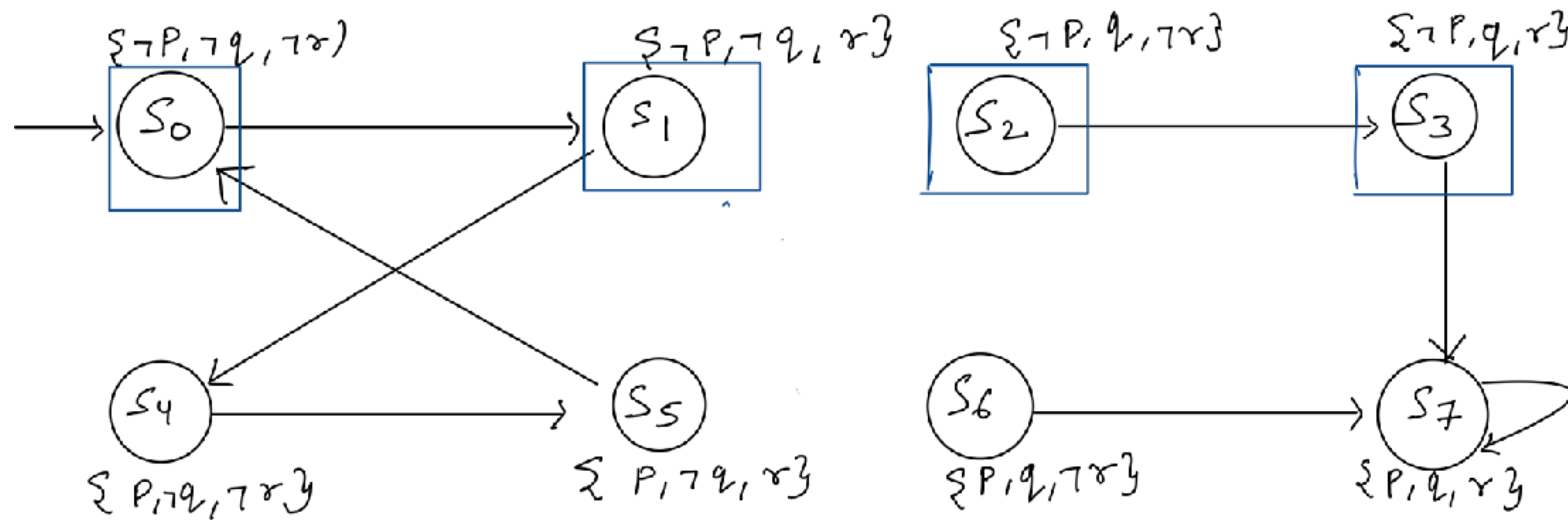
Reachability analysis — can we reach to state where $p \wedge q \wedge r$ holds initial states?

$$Q(s_0) \wedge T(s_0, s_1) \wedge \bigwedge_{i=1}^{k-1} T(s_i, s_{i+1}) \wedge \bigvee_{i=1}^k p(s_i) \quad Q = \{s_0\} \quad K = 1$$

A
B

$$(\neg p_0 \wedge \neg q_0 \wedge \neg r_0) \wedge (\neg p_1 \wedge \neg q_1 \wedge r_1) \wedge (p_1 \wedge q_1 \wedge r_1) \quad \text{UNSAT}$$

A
B



Let us consider the above example: Look carefully at the labelling function.

$$F = \forall \square \neg(p \wedge q \wedge r).$$

Only Bad state is S_7

Reachability analysis — can we reach to state where $p \wedge q \wedge r$ holds initial states?

$$\underbrace{(\neg p_0 \wedge \neg q_0 \wedge \neg r_0)}_A \wedge \underbrace{(\neg p_1 \wedge \neg q_1 \wedge r_1)}_B \wedge \underbrace{(p_1 \wedge q_1 \wedge r_1)}_C \quad \text{UNSAT}$$

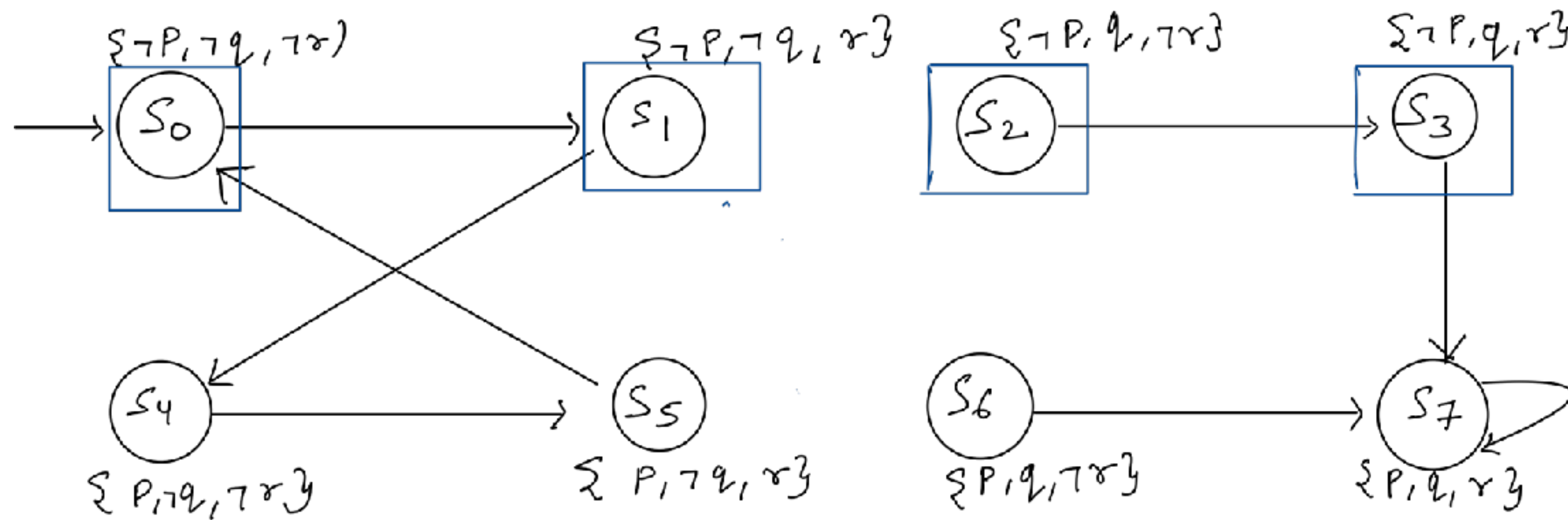
Interpolant := $\neg p_1$

$$I_S = \{s_0, s_1, s_2, s_3\}$$

$$I_s : \{s \mid I \in L(s)\}$$

$$Q = Q \cup I_s$$

Check the reachability with Over-approximate set



Let us consider the above example: Look carefully at the labelling function.

$$F = \forall \square \neg(p \wedge q \wedge r).$$

Only Bad state is S_7

Reachability analysis — can we reach to state where $p \wedge q \wedge r$ holds initial states?

$$\underbrace{(\neg p_0 \wedge \neg q_0 \wedge \neg r_0)}_A \wedge \underbrace{(\neg p_1 \wedge \neg q_1 \wedge r_1)}_B \wedge \underbrace{(p_1 \wedge q_1 \wedge r_1)}_C \quad \text{UNSAT}$$

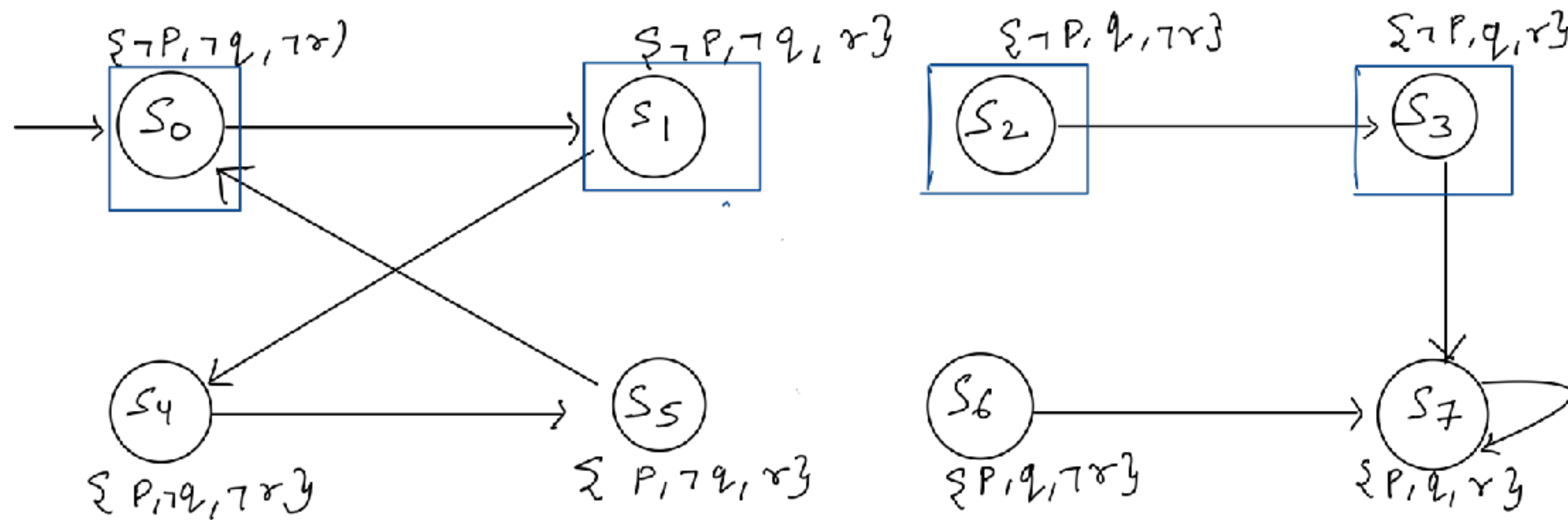
Interpolant := $\neg p_1$

$$I_S = \{s_0, s_1, s_2, s_3\}$$

$$I_s : \{s \mid I \in L(s)\}$$

$$Q = Q \cup I_s$$

Check the reachability with Over-approximate set



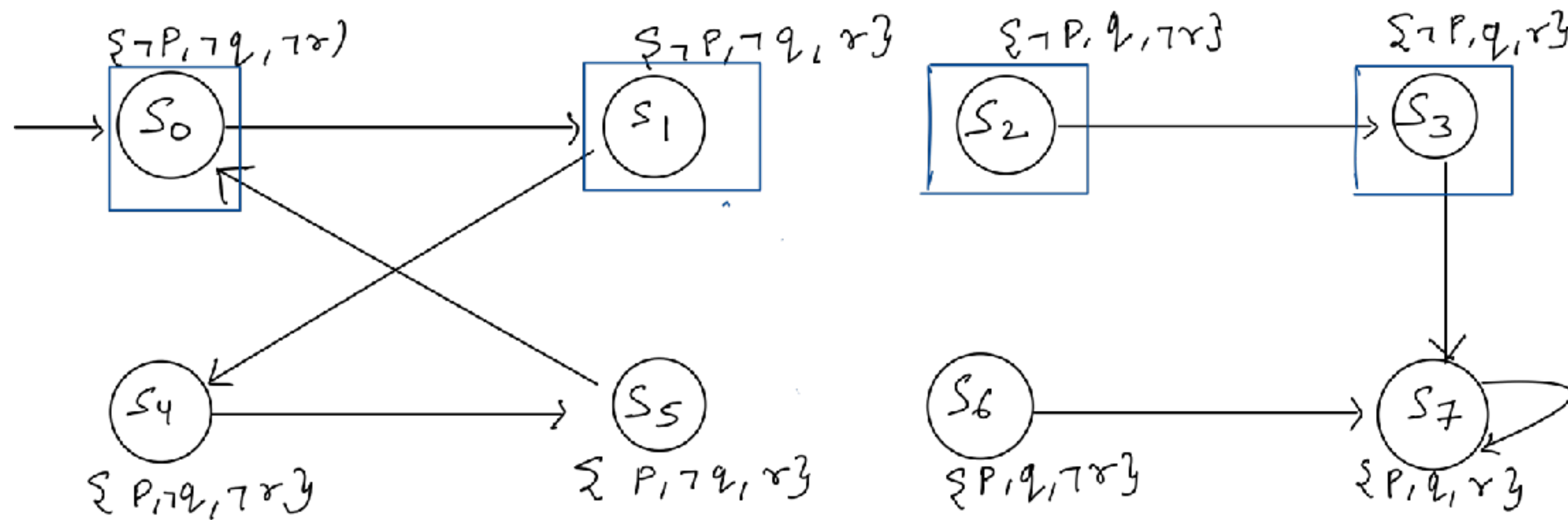
Let us consider the above example: Look carefully at the labelling function.

$$F = \forall \square \neg(p \wedge q \wedge r). \quad \text{Only Bad state is } S_7$$

Reachability analysis — can we reach to state where $p \wedge q \wedge r$ holds initial states?

$$Q = Q \cup I_s \quad \text{Check the reachability with Over-approximate set} \quad Q = \{S_0, S_1, S_2, S_3\}$$

Is Q an inductive invariant? No! $\text{post-image}(s_1) \notin Q$



Let us consider the above example: Look carefully at the labelling function.

$$F = \forall \square \neg(p \wedge q \wedge r).$$

Only Bad state is s_7

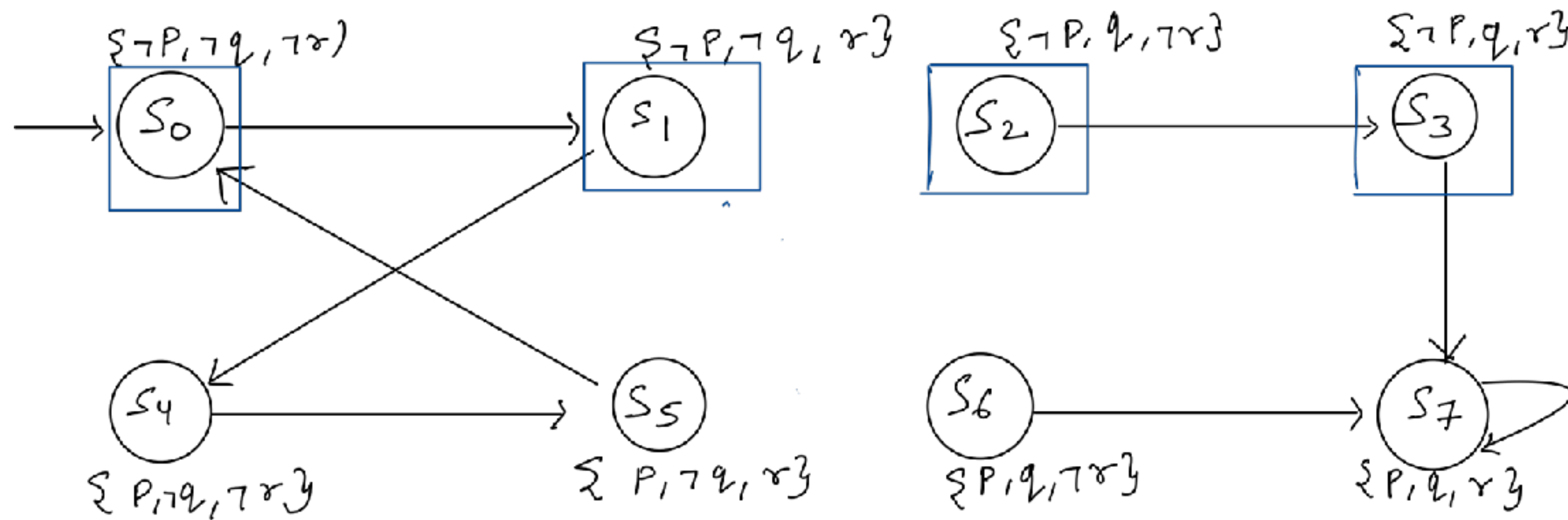
Reachability analysis — can we reach to state where $p \wedge q \wedge r$ holds initial states?

$$Q = \{s_0, s_1, s_2, s_3\}$$

$$Q(s_0) \wedge T(s_0, s_1) \wedge \bigwedge_{i=1}^{k-1} T(s_i, s_{i+1}) \wedge \bigvee_{i=1}^k p(s_i)$$

A

B



Let us consider the above example: Look carefully at the labelling function.

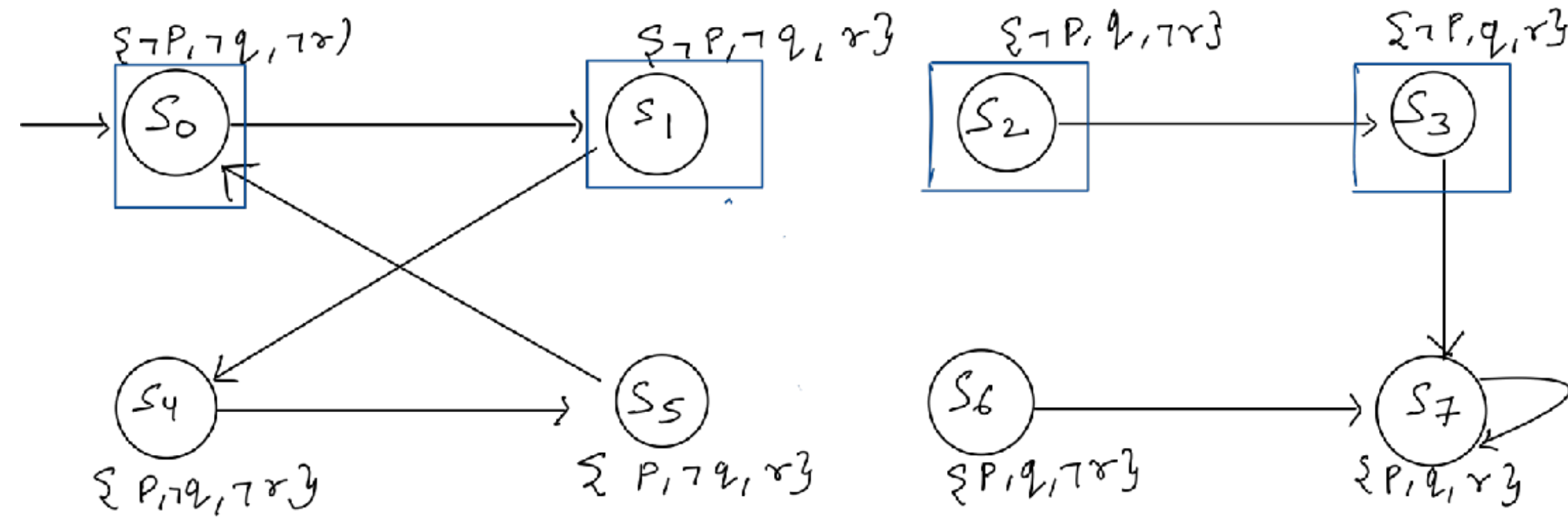
$$F = \forall \square \neg(p \wedge q \wedge r).$$

Only Bad state is s_7

Reachability analysis — can we reach to state where $p \wedge q \wedge r$ holds initial states?

$$Q = \{s_0, s_1, s_2, s_3\} \bigvee_{\forall s \in Q} \{Q(s_0) \wedge T(s_0, s_1)\} \wedge \bigwedge_{i=1}^{k-1} T(s_i, s_{i+1}) \wedge \bigvee_{i=1}^k p(s_i)$$

A B



Reachability analysis – can we reach to state where $p \wedge q \wedge r$ holds initial states?

$$Q = \{s_0, s_1, s_2, s_3\} \quad \bigvee_{\forall s \in Q} \{Q(s_0) \wedge T(s_0, s_1)\} \wedge \bigwedge_{i=1}^{k-1} T(s_i, s_{i+1}) \wedge \bigvee_{i=1}^k p(s_i) \quad K=1$$

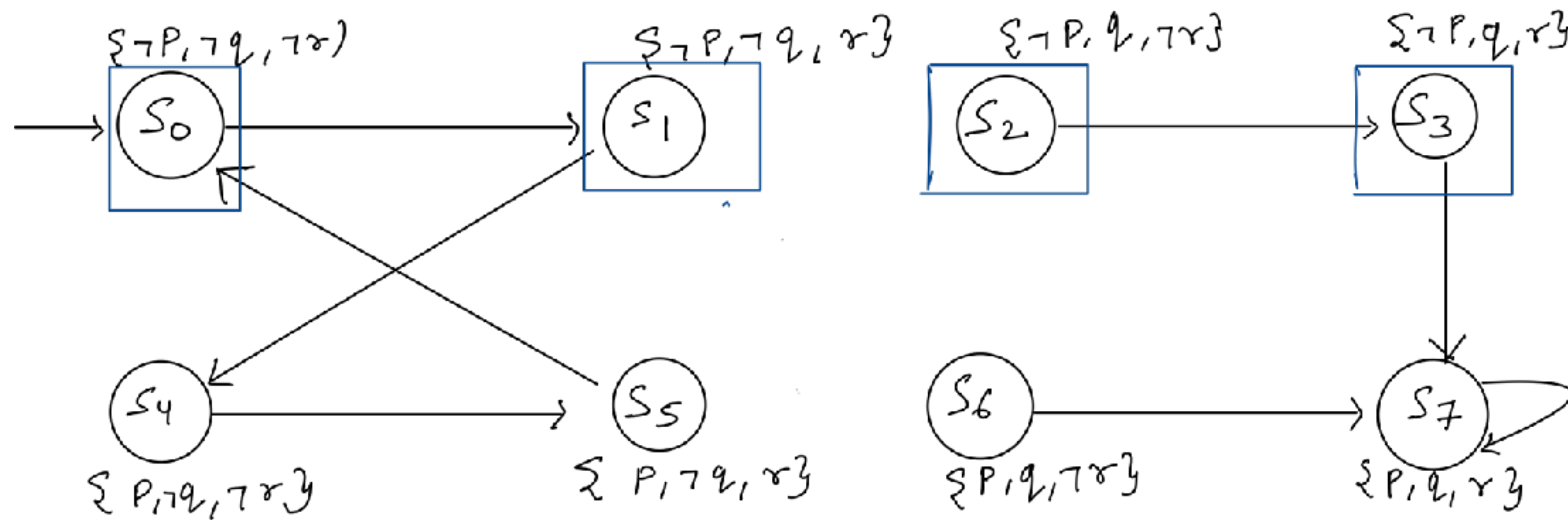
A

B

$$A = [(\neg p_0 \wedge \neg q_0 \wedge \neg r_0) \wedge (\neg p_1 \wedge \neg q_1 \wedge r_1)] \vee [(\neg p_0 \wedge \neg q_0 \wedge r_0) \wedge (p_1 \wedge \neg q_1 \wedge \neg r_1)] \\ \vee [(\neg p_0 \wedge q_0 \wedge \neg r_0) \wedge (\neg p_1 \wedge q_1 \wedge r_1)] \vee [(\neg p_0 \wedge q_0 \wedge r_0) \wedge (p_1 \wedge q_1 \wedge r_1)]$$

$$B = (p_1 \wedge q_1 \wedge r_1)$$

$A \wedge B$ is SAT.



Let us consider the above example: Look carefully at the labelling function.

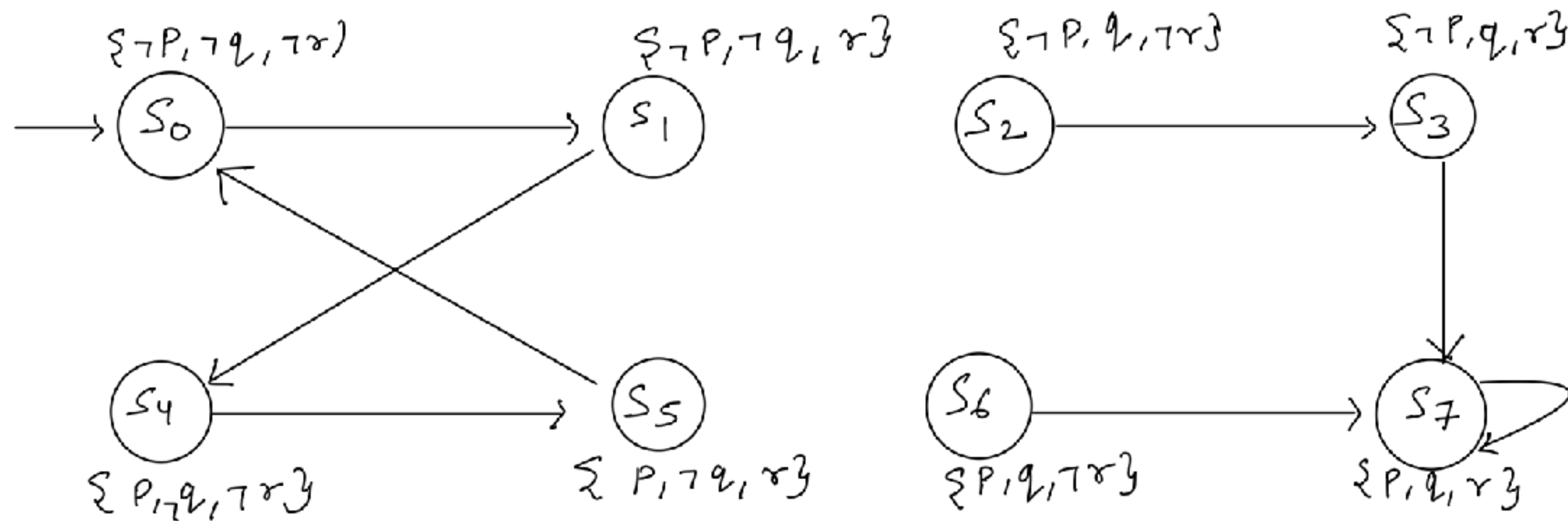
$$F = \forall \square \neg(p \wedge q \wedge r). \quad \text{Only Bad state is } S_7$$

Reachability analysis — can we reach to state where $p \wedge q \wedge r$ holds initial states?

$$Q = \{s_0, s_1, s_2, s_3\} \quad \bigvee_{\forall s \in O} \{Q(s_0) \wedge T(s_0, s_1)\} \wedge \bigwedge_{i=1}^{k-1} T(s_i, s_{i+1}) \wedge \bigvee_{i=1}^k p(s_i) \quad K = 1$$

A B

If $A \wedge B$ is SAT, check if $Q = I$ $Q = I$, then Return counter-example.
Else, increase k to build trust!



Let us consider the above example: Look carefully at the labelling function.

$$F = \forall \square \neg(p \wedge q \wedge r).$$

Only Bad state is s_7

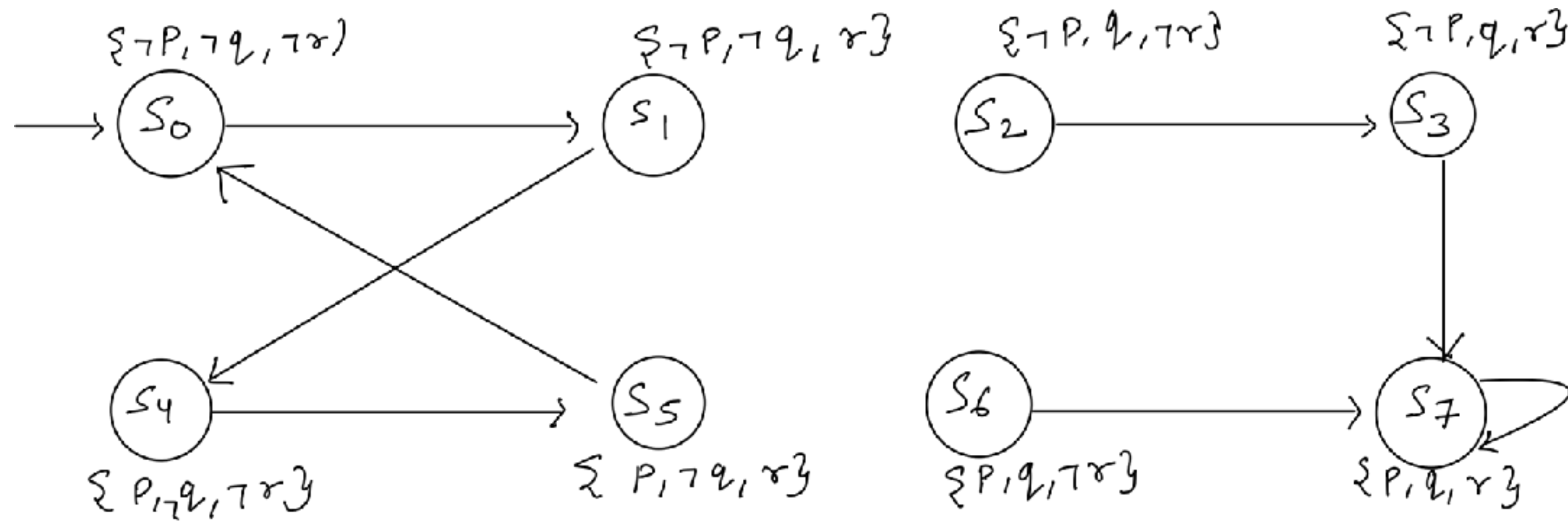
Reachability analysis — can we reach to state where $p \wedge q \wedge r$ holds initial states?

$$Q(s_0) \wedge T(s_0, s_1) \wedge \bigwedge_{i=1}^{k-1} T(s_i, s_{i+1}) \wedge \bigvee_{i=1}^k p(s_i) \quad Q = \{s_0\} \quad K = 2$$

A
B

$$(\neg p_0 \wedge \neg q_0 \wedge \neg r_0) \wedge (\neg p_1 \wedge \neg q_1 \wedge r_1) \wedge (\neg p_1 \wedge \neg q_1 \wedge r_1 \wedge p_2 \wedge \neg q_2 \wedge \neg r_2) \wedge [(p_1 \wedge q_1 \wedge r_1) \vee (p_2 \wedge q_2 \wedge r_2)]$$

A
B
UNSAT



Let us consider the above example: Look carefully at the labelling function.

$$F = \forall \square \neg(p \wedge q \wedge r).$$

Only Bad state is s_7

Reachability analysis — can we reach to state where $p \wedge q \wedge r$ holds initial states?

$$Q(s_0) \wedge T(s_0, s_1) \wedge \bigwedge_{i=1}^{k-1} T(s_i, s_{i+1}) \wedge \bigvee_{i=1}^k p(s_i)$$

A

B

$$Q = \{s_0\} \quad K = 2$$

UNSAT

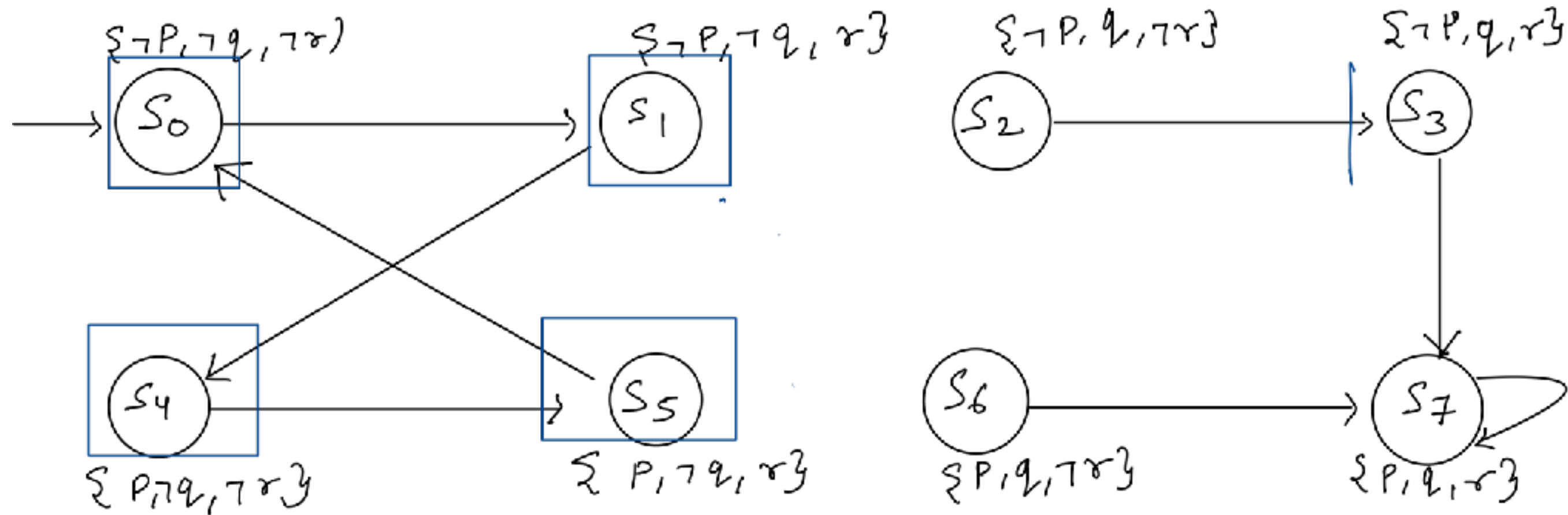
Interpolant := $\neg q_1$

$$I_S = \{s_0, s_1, s_4, s_5\}$$

$$I_s : \{s \mid I \in L(s)\}$$

$$Q = Q \cup I_s$$

Check the reachability with Over-approximate set



Let us consider the above example: Look carefully at the labelling function.

$$F = \forall \square \neg(p \wedge q \wedge r).$$

Only Bad state is s_7

Reachability analysis — can we reach to state where $p \wedge q \wedge r$ holds initial states?

$$Q(s_0) \wedge T(s_0, s_1) \wedge \bigwedge_{i=1}^{k-1} T(s_i, s_{i+1}) \wedge \bigvee_{i=1}^k p(s_i)$$

A

B

$$Q = \{s_0\} \quad K = 2$$

UNSAT

Interpolant := $\neg q_1$

$$I_s : \{s \mid I \in L(s)\}$$

$$Q = Q \cup I_s$$

Q is inductive invariant!!!

$$I_S = \{s_0, s_1, s_4, s_5\}$$

$$M \models F$$

Model Checking using Interpolants

General idea:

1. Perform BMC

2. If BMC is UNSAT:

Iteratively compute and refine an over-approximation of states reachable in K steps.

Compute Interpolant as over-approximation.

If interpolant is inductive

Return True.

else

use interpolant to over-approximate.

3. If BMC is SAT:

Check if over-approximation is same as initial states

otherwise increase K .

```
procedure CraigReachability(model  $M$ ,  $p \in AP$ )  
  if  $S_0 \wedge \neg p$  is SAT return " $M \not\models \mathbf{AG} p$ ";  
   $k := 1$ ;  
   $Q := S_0$ ;  
  while true do  
     $A := Q(s_0) \wedge R(s_0, s_1)$ ;  
     $B := \bigwedge_{i=1}^{k-1} R(s_i, s_{i+1}) \wedge \bigvee_{i=1}^k \neg p(s_i)$ ;  
    if  $A \wedge B$  is SAT then  
      if  $Q = S_0$  then return " $M \not\models \mathbf{AG} p$ ";  
      Increase  $k$   
       $Q := S_0$   
    else  
      compute interpolant  $I$  for  $A$  and  $B$   
      if  $I \subseteq Q$  then return " $M \models \mathbf{AG} p$ ";  
       $Q := Q \cup I$   
    end if  
  end while  
end procedure
```

Model Checking using Interpolants

Can you use interpolants to compute inductive invariants?

1. Constructs an over-approximation of the reachable states
2. Terminates when it finds an inductive invariant or a counterexample

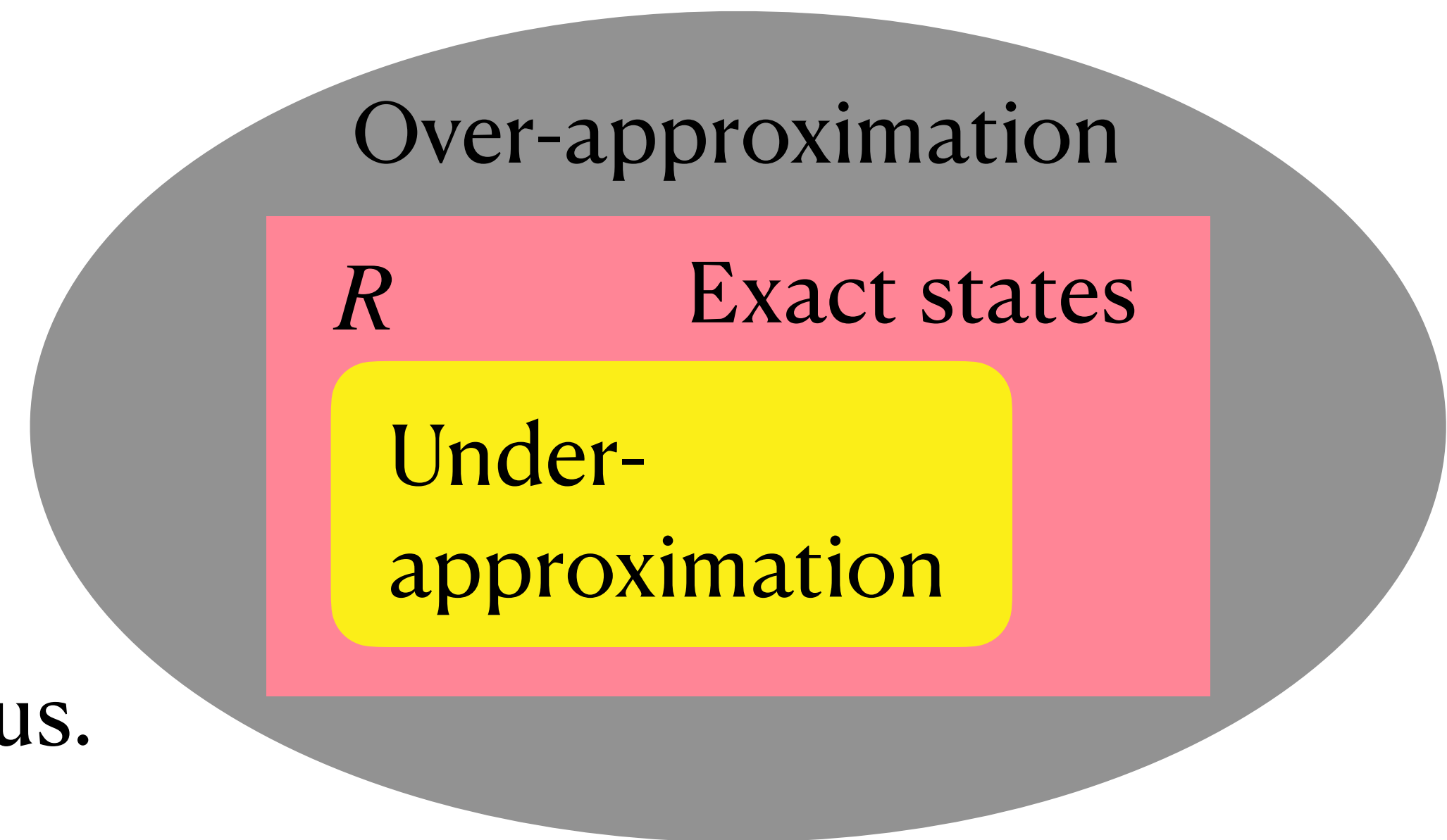
Actual reachable set: R

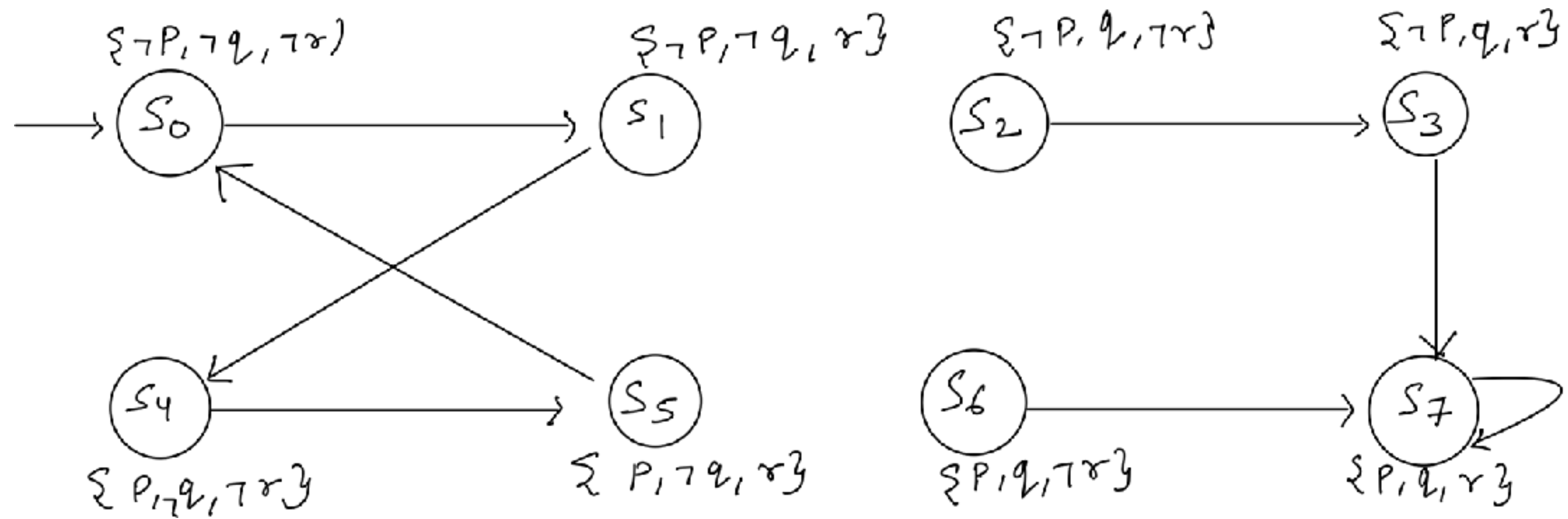
Over-approximation (O_p): $R \rightarrow O_p$

1. Proofs on over-approximation holds.
2. Counterexample can be spurious.

Under-approximation (U_p): $U_p \rightarrow R$

1. Proofs on over-approximation can be spurious.
2. Counterexample holds





$$T(p, q, r, p', q', r') \equiv (q' \leftrightarrow q) \wedge (r' \leftrightarrow (p \vee q \vee \neg r)) \wedge (p' \leftrightarrow ((p \oplus r) \vee (q \wedge r))).$$